

1 Model uncertainty-based evaluation of process  
2 strategies during scale-up of biopharmaceutical  
3 processes

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## Abstract

Knowledge-driven design and reliable scale-up of biopharmaceutical production processes with mammalian cells are key in Quality by Design. In this study, a model-based workflow is described to computationally evaluate the bioprocess dynamics during process transfer and scale-up. This enables a knowledge-driven evaluation of the process and thus provides a decision-making tool for accelerated bioprocess development. First, a mathematical process model describes the bioprocess dynamics of different state variables (e.g. cell density, titer). Second, the model parameter probability distributions are determined at different scales based on measurement errors and the process variability is predicted. Third, the determined parameter distributions are statistically compared to evaluate if the process dynamics have been changed and further actions are recommended. This workflow was successfully tested for the scale-up of an antibody-producing CHO fed-batch process from development scale (30 ml) to process implementation (250 ml) and scale-up to 2 l laboratory and 50 l pilot scale.

*Keywords: Monte Carlo methods, Process modeling, Parameter distributions, Process validation, model-assisted Design of Experiments, Quality by Design*

## 1 Introduction

Reliable design and scale-up of biopharmaceutical production processes with mammalian cell culture are essential in Quality by Design (QbD). First, a stable and productive process needs to be identified during process development after clone selection. This includes screening studies of medium components (Torkashvand et al., 2015; Rouiller et al., 2014) and the definition of a stable and effective process strategy (e.g. fed-batch) (Wurm, 2004; Gmeiner et al., 2015). Mathematical process

32 modeling is an efficient tool during this step because it includes the most impor-  
33 tant mechanistics of the biological system. Moreover, mathematical process models  
34 describe the interactions between process parameters and key performance indica-  
35 tors, which is a substantial part of QbD (Guideline et al., 2009; Herwig et al., 2015;  
36 Carrondo et al., 2012). More process knowledge is incorporated during modeling  
37 if uncertainty quantification is performed, i.e. determining the effect of input un-  
38 certainties (e.g. experimental variations) on model outcomes (Sin et al., 2009; Liu  
39 and Gunawan, 2017; Anane et al., 2019). Uncertainty-based modeling techniques  
40 have been widely used in chemical systems or systems biology (Möller et al., 2018,  
41 2019a), but not often in bioprocess simulation studies (Rodríguez et al., 2019).

42 Second, the bioprocess including its process strategy needs to be scaled up, for which  
43 mostly data-driven approaches are used. This is conventionally done by keeping a  
44 hydrodynamic state constant, e.g. volumetric power input ( $P/V_L$ ) (Klößner et al.,  
45 2012; Catapano et al., 2009), mixing time (Varley and Birch, 1999; Rosseburg et al.,  
46 2018), impeller tip speed (Ju and Chase, 1992; Alsayyari et al., 2018) or the volumet-  
47 ric mass transfer coefficient  $k_La$  (Xing et al., 2009; Nienow et al., 1996). Therefore,  
48 it is recommended to hydrodynamically characterize the bioreactors at each scale  
49 (recommendation see (Meusel et al., 2016)). Additionally, computational fluid dy-  
50 namics (CFD) has gained rising importance to obtain an improved understanding of  
51 the bioreactor hydrodynamics from small to large scale (Sharma et al., 2011; Werner  
52 et al., 2014; Kaiser et al., 2011; Nienow et al., 2013). However, the cellular behavior  
53 including metabolism and productivity could vary at different bioreactor scales due  
54 to e.g. differences in the hydrodynamic stress (Sieck et al., 2013; Neunstoecklin  
55 et al., 2015) or pH gradients (Ivarsson et al., 2015; Brunner et al., 2017). So far,  
56 purely data-based scale-up procedures do not consider the dynamics of the biopro-  
57 cess. Therefore, it is not ensured that the previously developed process strategy is  
58 scaled up sufficiently and that the process dynamics stay constant during scale-up.  
59 In this study, a workflow is introduced to computationally evaluate the process dy-

60 namics, described by a mathematical process model, at different bioreactor scales.  
61 This concept is based on the determination and statistical comparison of the proba-  
62 bility distributions of model parameters under consideration of experimental uncer-  
63 tainty. Thus, the model incorporates the current process understanding and enables  
64 a knowledge-driven decision making. The workflow is tested for the model-based  
65 evaluation of an antibody-producing CHO fed-batch cultivation process during the  
66 scale-up from process development scale (30 ml shake flask) to process implementa-  
67 tion at 250 ml and 2 l bioreactor scale. Finally, it is shown how the mathematical  
68 model is used to determine the operating range during the process transfer to a 50 l  
69 pilot scale bioreactor.

## 70 **1.1 Proposed model uncertainty-based workflow**

71 As can be seen at the beginning of Figure 1, experimental data sets at two different  
72 bioprocess scales are used as input (exemplary Scale A and Scale B), e.g. process  
73 development and process implementation scale (typically using different bioreactor  
74 systems). Please notice that this study does not focus on how the scale-up needs to  
75 be performed hydrodynamically. The aim was to develop a method to statistically  
76 evaluate if the process dynamics are comparable at both scales and if the targeted  
77 process optimum (i.e. process strategy) is still met.

78 [Figure 1 about here.]

79 The basis of the introduced concept is the quantification of model-parametric un-  
80 certainties under consideration of experimental uncertainty due to variability in  
81 measurements (box 1). The model parameters are estimated multiple times (Monte-  
82 Carlo sampling) for each investigated scale under the assumption of normally dis-  
83 tributed measurement errors for each observable to determine the parameter distri-  
84 butions. Then, the parameter distributions and the prediction quantiles are used to  
85 visualize the process variability based on the model parameter distributions (box 2).

86 In the next step (box 3), a statistical comparison of the parameter distributions is  
87 performed to evaluate if there are statistically significant differences between both  
88 scales. The same process dynamics and targeted process strategy could be ensured  
89 if no changes in the parameter distributions are identified. Otherwise, if the param-  
90 eters differ significantly, a validation of the process strategy is recommended (box 4,  
91 e.g. adjusting the feed composition). In this validation step, a previously introduced  
92 model-assisted Design of Experiments (mDoE) concept is used to re-adjust the pro-  
93 cess strategy with a reduced number of experiments (Möller et al., 2019b). Based on  
94 this, it is recommended to enter further process development/process optimization  
95 studies or to proceed with scale-up if the validation was successful. This reflects a  
96 knowledge-driven methodology in QbD and can be repeated for every scale-up step.

## 97 **2 Materials and Methods**

98 The process design scaled up in this study was generated at the Institute of Bio-  
99 process and Biosystems Engineering (Hamburg University of Technology-TUHH)  
100 and was then transferred to the Institute of Chemistry and Biotechnology (Zurich  
101 University of Applied Sciences-ZHAW) for scale-up. Therefore, slightly different  
102 cultivation protocols and analytical methods were applied during this study.

### 103 **2.1 Mathematical process model**

104 An unstructured and non-segregated mechanistic process model was used in this  
105 study to compare the dynamics of the investigated process at different scales. It  
106 was previously described in (Kern et al., 2016; Möller et al., 2019b). In brief, the  
107 model describes cell growth ( $X_t$  - total cell density,  $X_d$  - dead cell density,  $X_v$  -  
108 viable cell density) and cell death based on the concentrations of glucose ( $c_{Glc}$ ) and  
109 glutamine ( $c_{Gln}$ ) and growth inhibition due to ammonium ( $c_{Amm}$ ). The progression  
110 of the glucose and glutamine concentrations are coupled to the formation of lactate

111 ( $c_{\text{Lac}}$ ) and ammonium. The antibody ( $c_{\text{Ab}}$ ) is modeled to be expressed constantly  
112 per cell. The model also includes the mass balances involved in the bolus fed-  
113 batch processes and the model equations are summarized in Supplementary Table 1  
114 for easier reference. All computational methods in this study were performed in  
115 MATLAB 2018a.

## 116 **2.2 Monte Carlo-based uncertainty quantification**

117 The core of the proposed method is the quantification of parametric model uncertain-  
118 ties and comparison of these probability distributions at different scales based on the  
119 experimental variability. Therefore, a normally distributed observational error of 5%  
120 relative standard deviation was assumed based on the typical measurement standard  
121 deviations of analytical methods in bioprocess evaluation (i.e. expert knowledge)  
122 (Wechselberger et al., 2013). In order to propagate this input uncertainty onto para-  
123 metric uncertainty, Monte Carlo samples were generated (observational error) and  
124 the model parameters were adapted using the Nelder-Mead optimization algorithm  
125 (Nelder and Mead, 1965; Singer and Singer, 2004). The objective/cost function  
126 was the weighted sum of squared residuals between the simulations ( $y_{\text{sim},j}(t_i)$ ) in  
127 comparison to the experimental data ( $y_j(t_i)$ ) over all time points  $t_1, \dots, t_N$  and all  
128 variables  $y_1, \dots, y_M$ , normalized on the assumed measurement variance  $\sigma_j$ , which is  
129 defined as 5% of the maximum value of a data set ( $y_{j,\text{max}}$ ) for the  $j$ -th observable  
130 (i.e.  $\sigma_j = 0.05 \cdot d_{j,\text{max}}$ ). The experimental data was sampled 1000 times and the  
131 model parameters were adapted for each sampling. The initial values are shown  
132 in Supplementary Table 2 and were the same in all compared scales.  $X_v$  and  $c_{\text{Ab}}$   
133 were weighted with 100 and  $c_{\text{Amm}}$  with 10. 4 out of 29 experiments were randomly  
134 sampled and the parameters were estimated for the experiments performed during  
135 the identification of the feeding strategy. In the other scales, all experimental data  
136 was used.

## 137 **2.3 Statistical comparison of probability distributions**

138 The means of the determined model parameter distributions were statistically com-  
139 pared for two different bioreactor scales (see Figure 1, Scale A and Scale B, re-  
140 spectively) to identify changes in the process dynamics. Therefore, the relative  
141 95%-confidence interval (CI) for the difference in means was calculated. For two  
142 samples  $x_1, \dots, x_n$  and  $y_1, \dots, y_m$  (representing the distribution of one model param-  
143 eter at two different scales) the means  $\bar{x}$  and  $\bar{y}$  and the sample variances  $\sigma_x^2$  and  
144  $\sigma_y^2$  were computed. According to the central limit theorem, the difference in means  
145  $d = \bar{x} - \bar{y}$  of samples with large sample sizes follows a normal distribution, character-  
146 ized by  $\mathcal{N}(\bar{x} - \bar{y}, \sigma_x^2/n + \sigma_y^2/m)$ . Then, the 95%-confidence interval of the difference  
147 in means were calculated:

$$\left[ \bar{x} - \bar{y} - 1.96\sqrt{\sigma_x^2/n + \sigma_y^2/m}, \bar{x} - \bar{y} + 1.96\sqrt{\sigma_x^2/n + \sigma_y^2/m} \right]. \quad (1)$$

148 In order to test for a statistically significant difference in means of at least 5%, a  
149 model parameter was assigned to be significantly different, if the corresponding CI  
150 contains 5%.

## 151 **2.4 Monte Carlo-based uncertainty bands**

152 Quantification and graphical representation of the propagated uncertainty in the  
153 process dynamics was performed with Monte Carlo methods, thus repeated simula-  
154 tions of the process with the 1000 previously determined parameter sets were carried  
155 out (2.2). The mean and the 10% and 90% quantiles of simulation were calculated  
156 with the function "prtile" (MATLAB 2018a, exact mode) (Langford, 2006).

## 157 **2.5 Validation of process strategy**

158 A validation of the process strategy (box 4 in Fig. 1) is recommended if the model  
159 parameter distributions (2.2) are significantly different. This is motivated based on

160 the identified change of the bioprocess dynamics and is seen to support knowledge-  
 161 driven decision making. Commonly, Design of Experiments (DoE) methods are  
 162 applied to develop and validate the process strategy on different scales (e.g. during  
 163 late stage process optimization) (Legmann et al., 2009; Brunner et al., 2017; Abt  
 164 et al., 2018). (Möller et al., 2019b) proposed a model-assisted DoE method (mDoE)  
 165 which combines mathematical process modeling with statistical tools to significantly  
 166 reduce the number of experiments. This concept was adapted in this study to  
 167 validate the process strategy. In brief, a DoE is planned using suitable software (here:  
 168 DesignExpert 11, Statcon, USA) and the recommended experiments are simulated  
 169 instead of being experimentally performed. The responses (e.g. titer) are included  
 170 into the DoE evaluation with a quadratic response surface model (all hierarchical,  
 171  $\alpha_{\text{out}} < 0.1$ , adjusted R-squared criteria). Please see (Möller et al., 2019b) for more  
 172 information about the general concept of mDoE.

## 173 2.6 Identifiability analysis

174 Monte Carlo simulations were used to evaluate whether the parameters can be reli-  
 175 ably estimated with acceptable accuracy (Miao et al., 2011). Therefore, the prop-  
 176 agation of the input uncertainties onto the uncertainty in model simulations were  
 177 quantified. For each model parameter, the whole sample of adapted values (rep-  
 178 resenting the probability distribution of this model parameter) was considered and  
 179 the average relative estimation deviation was computed. After adapting the model  
 180 to each of the  $N$  simulated data sets to obtain parameter estimates  $\hat{\theta}^{(k)}$  for the  
 181  $k$ -th parameter, the sample mean of the  $k$ -th parameter  $\bar{\theta}^{(k)}$  and the corresponding  
 182 relative average deviation ( $\text{RAD}(\theta^{(k)})$ ) was computed according to:

$$\text{RAD}(\theta^{(k)}) = 100\% \cdot \frac{1}{N} \sum_{i=1}^N \frac{|\hat{\theta}_i^{(k)} - \bar{\theta}_i^{(k)}|}{|\bar{\theta}_i^{(k)}|}. \quad (2)$$

183 A low RAD-value reflects a practical identifiability of the corresponding parameter



184 component (Miao et al., 2011; Anane et al., 2019). Nevertheless, no general fix  
185 threshold can be applied since the relative average deviation also depends on the  
186 measurement error. Therefore, the assessment relies on the underlying problem and  
187 expert. In our study, we considered the histograms of the obtained distribution-  
188 s/samples in order to define an adequate threshold below 20%.

## 189 **2.7 Sensitivity analysis of model structure**

190 The sensitivity of the model simulations based on the input parameter uncertainties  
191 was quantified using the change of the maximum viable cell density  $X_{v,\max}$ . One  
192 model parameter  $\theta^{(k)}$  at a time was varied within its previously derived probability  
193 distribution (2.2), meanwhile keeping all other parameters constant and computing  
194 the resulting target output values (Loucks and Van Beek, 2017). The resulting  
195 probability distribution of the target variable was compared to the input probability  
196 distribution. This was realized by comparing the relative width of the 80%-intervals  
197 of both distributions,  $\Delta_{\theta_i}$  and  $\Delta_{X_{v,\max}}$ , with a quantitative sensitivity coefficient  $S$ :

$$S = \frac{\Delta_{X_{v,\max}}}{\Delta_{\theta^{(k)}}}. \quad (3)$$

198 A parameter was significantly sensitive if  $S$  was above 5%.

## 199 **2.8 Engineering parameters during scale-up**

200 All investigated bioreactors were hydrodynamically characterized (Meusel et al.,  
201 2016; Kaiser et al., 2015) and engineering parameters were compared with respect  
202 to cell growth, metabolism, and product titer during scale-up and in scale-down  
203 models (not part of this work). Based on this, a specific power input of  $19 \text{ W m}^{-3}$   
204 was identified as the scale-up criterion, which was kept constant in this study at all  
205 investigated stirred bioreactor scales.

## 206 **2.9 Cultivations**

207 All cultivations considered in this study were performed in single-use bioreactors  
208 and are summarized in Table 1, including their scale and cultivation system.

209 [Table 1 about here.]

### 210 **2.10 Cell line and preculture**

211 Suspension growing CHO DP-12 cells, producing an Interleukin-8 (IgG-1) anti-  
212 body (clone #1934, ATCC CRL-12445), were cultivated in this study (provided  
213 by Prof. Dr. T. Noll, Bielefeld University, Germany). TC-42 medium (chemi-  
214 cally defined, animal component-free, Xell AG, Germany) was supplemented with  
215  $6 \text{ mmol l}^{-1}$  glutamine,  $0.1 \text{ mg} \cdot \text{l}^{-1}$  LONG R3 IGF-1, and  $200 \text{ nmol l}^{-1}$  Methotrex-  
216 ate (all Sigma-Aldrich). Precultures were performed in shaken single-use Erlenmeyer  
217 baffled flasks (40 ml working volume, Corning, USA). The incubators (LT-XC, Kuh-  
218 ner, Switzerland or Multitron cell, Infors HT, Switzerland) were controlled at  $37 \text{ }^\circ\text{C}$ ,  
219  $5 \text{ \% CO}_2$  (LT-X) or  $7.5 \text{ \% CO}_2$  (Multitron cell) and  $85 \text{ \%}$  humidity with shak-  
220 ing speeds between 120 rpm (25 mm shaking diameter, Multitron cell) - 200 rpm  
221 (12.5 mm shaking diameter, LT-XC). The cells were expanded in shake flasks and  
222 no maintenance culture was used.

#### 223 **2.10.1 Identification of feeding strategy**

224 The fed-batch strategy was designed in a previous study (see (Möller et al., 2019b))  
225 using mDoE to reduce the boundary values of an experimental design. There, the  
226 proposed method (mDoE) was tested and compared to the fully implemented exper-  
227 imental design with 29 experiments, which were performed in shake flasks (30 ml,  
228 2 blocks, 14 and 15 parallel experiments). In brief, the incubator (LT-XC, Kuhner)  
229 was the same as explained above (2.10) with an increased shaking speed (220 rpm).  
230 The feeding design was varied (feed: Chomacs basic feed, Xell AG) with regard to

231 the start times of bolus feeding (48 h, 72 h, 96 h), the feeding rate (3 ml d<sup>-1</sup> -  
232 6 ml d<sup>-1</sup>) and concentrations of glucose (111 mmol l<sup>-1</sup> - 222 mmol l<sup>-1</sup>) and glu-  
233 tamine (9 mmol l<sup>-1</sup> - 38 mmol l<sup>-1</sup>). In this study, these data was used to estimate  
234 the model parameter distributions of the process development scale (30 ml shake  
235 flasks). Please see (Möller et al., 2019b) for more information.

### 236 **2.10.2 Process implementation and process validation at 250 ml scale**

237 The formerly identified fed-batch strategy was transferred to the Ambr 250 mod-  
238 ular system (Sartorius Stedim Biotech).  $0.3 \cdot 10^6$  cells ml<sup>-1</sup> were inoculated and  
239 the starting volume was 200 ml. Following feeding (feed as above) steps referring  
240 to the starting volume were performed: 48 h: 2.55 %; 72 h: 5.1 %; 96 h, 120 h,  
241 144 h: 10.625 %. Temperature was set to 37°C and headspace aeration to 0.1 vvm.  
242 Dissolved oxygen was controlled at a minimum of 40 % (submerge sparging with  
243 oxygen if needed). pH was controlled at 7.2 with CO<sub>2</sub> submerge sparging. Stir-  
244 rer speed was adapted to the culture volume, keeping the specific power input of  
245 19 W m<sup>-3</sup> constant. During the process validation, the starting volume of the biore-  
246 actor (previously 200 ml) was altered to 230 ml (F=0.5) and 170 ml (F=1.5) due to  
247 the change in feeding volumes.

### 248 **2.10.3 Process scale-up (2 l scale)**

249 Cells were expanded using 125-500 ml single-use shake flasks (Corning) with 40-  
250 160 ml working volume. Starting volume was 1440 ml (UniVessel SU 2L bioreactor,  
251 Sartorius Stedim Biotech). The feeding steps were performed based on the starting  
252 volume as described above (2.10.2). All process parameters were the same as in  
253 Ambr experiments.

#### 254 **2.10.4 Pilot scale (50 l)**

255 Cells were expanded using 125-500 ml single-use shake flasks (Corning) with 40-  
256 160 ml working volume and a wave-mixed bag with 5 l working volume (Cultibag RM  
257 10 l basic, Sartorius Stedim Biotech). For the pilot scale cultivation, the BIOSTAT  
258 STR50 (Sartorius Stedim Biotech) was used with 34 l starting volume. Feeding was  
259 performed as previously described (2.10.2).

### 260 **2.11 Analytical methods**

#### 261 **2.11.1 Identification of feeding strategy**

262 For the identification of the feeding strategy (TUHH), the cell concentration was  
263 determined with the Z2 particle counter (Z2, Beckman Coulter, USA) and the vi-  
264 ability was measured using the DAPI (4,6-diamidin-2-phenylindol, Sigma-Aldirch)  
265 method. Glucose, glutamine, and lactate concentrations were measured with the  
266 biochemistry analyzer YSI 2900D (Yellow Springs Instruments, USA). The con-  
267 centration of ammonium was enzymatically determined with a test kit (AK00091,  
268 nzytech, Portugal). Antibody titer was quantified using high performance liquid  
269 chromatographic system (HPLC, Knauer Smartline, Germany) with a Poros-A col-  
270 umn (Thermo Fisher Scientific, USA; 0.1 ml) according to the manufacturers pro-  
271 tocols. Rituximab (Roche, Switzerland) was used as standard and samples were  
272 measured in duplicates.

#### 273 **2.11.2 Process implementation, re-adjustment, scale-up and pilot scale**

274 For the experiments in stirred bioreactors (at ZHAW), living cell density and vi-  
275 ability were measured with the NucleoCounter NC-200 (ChemoMe-tec, Denmark).  
276 Glucose, glutamine, lactate, and ammonium were analyzed with the BioProfile 100  
277 Plus (NovaBiomedical, Germany). The antibody was quantified with the Cedex Bio  
278 (Roche, Switzerland).

## 279 **3 Results and Discussion**

280 This study aims to introduce a model uncertainty-based workflow (see Fig. 1) for  
281 the evaluation of the bioprocess dynamics at different scales using model paramet-  
282 ric uncertainty quantification and statistical tests. In the beginning, the feeding  
283 strategy and the mathematical model of the process development data (shake flask  
284 cultures, 30 ml-50 ml) is discussed. Then, the feeding strategy was transferred to  
285 250 ml stirred bioreactors and three cultivations were performed. The model param-  
286 eter distributions were determined and compared between the process development  
287 (shake flask) and 250 ml bioreactor scale. Furthermore, scale-up from 250 ml bio-  
288 processes to 2 l was statistically validated and the obtained parameter distributions  
289 (250 ml and 2 l, respectively) were used to predict the variability of a 50 l pilot scale  
290 run.

### 291 **3.1 Process development (30 ml): Identification of fed-batch** 292 **strategy**

293 As was described in (Möller et al., 2019b), the identified optimal process strategy in  
294 shake flask cultivations was: start of bolus feeding after 96 h, glucose concentration  
295 in feed = 222 mmol l<sup>-1</sup>, glutamine concentration in feed = 9 mmol l<sup>-1</sup> and a feeding  
296 rate of 10% v/v (3 ml d<sup>-1</sup>). Here, it was aimed to transfer this process strategy from  
297 shake flasks to stirred bioreactors and scale the process up to pilot scale. Therefore,  
298 it was evaluated that the process dynamics remain constant during scale-up.

#### 299 **3.1.1 Estimation of model parameters**

300 668 data points (29 fed-batch cultivations, see 2.10.1) were used as data for the  
301 determination of the model parameter distributions (2.2), which are summarized  
302 in the Supplementary Figures 1 - 16. All cultivations were additionally simulated  
303 with the mean of the individual parameter distribution and the comparison of the

304 simulated to the measured data is shown in Figure 2.

305 [Figure 2 about here.]

306 The viable (Fig. 2, A), dead (Fig. 2, B) and total cell density (Fig. 2, C) were  
307 sufficiently reflected by the average parameter values. The antibody concentration  
308 (Fig. 2, D) was simulated with an  $R^2 = 0.56$  and NRMSD = 0.19 and reflects  
309 the general relationships, but the maximal antibody concentration was partly over-  
310 predicted after 144 h. The modeling of the product formation is widely discussed  
311 in literature (Zeng et al., 1998; Pörtner and Schäfer, 1996; Ben Yahia et al., 2015;  
312 Möller et al., 2018) and the here modeled constant cell-specific productivity is a  
313 rather simple approach, but sufficient for process optimization. Glucose was simu-  
314 lated with high accuracy in all cultivations ( $R^2=0.75$ , NRMSD=0.08), but lactate  
315 concentration (Fig. 2, F) was only simulated with high accuracy for the formation  
316 of lactate during the first 144 h ( $R^2 = 0.56$ , indicated by \*). After that, no further  
317 increase in lactate was measured. This is a typical effect in pH-uncontrolled shake  
318 flask cultivations (Zhou et al., 2011) and no impact of lactate on cell growth was  
319 identified previously for this cell line (Möller et al., 2018, 2019b). The concentration  
320 of glutamine is predicted well if considering only the first 96 h of cultivation (Fig. 2,  
321 G; indicated by \*\*). However, it differs from the simulation towards the end of the  
322 cultivation, presumably due to changing pH and ammonium concentrations. Cell  
323 growth is highly dependent on the glutamine availability and the range of fed glu-  
324 tamine is rather high (9 mmol l<sup>-1</sup> - 38 mmol l<sup>-1</sup>). This leads to a negative  $R^2$ , but  
325 an overall acceptable simulation. The concentration of ammonium was predicted  
326 with an  $R^2 = 0.52$ .

327 Overall, the average model simulations reflect the culture dynamics acceptably for  
328 the high amount of data and investigated process strategies in shake flask cultures.  
329 Furthermore, the process knowledge is increased throughout the mathematical mod-  
330 eling (Carrondo et al., 2012). The model parameter distributions reflect the para-

331 metric uncertainty and the process variability, which are further used to validate  
332 the process dynamics during scale-up.

### 333 **3.1.2 Identifiability analysis**

334 Practical identifiability of each parameter was analyzed based on the obtained pa-  
335 rameter distribution, interpreting the corresponding histograms as well as the RAD  
336 (Miao et al., 2011). Therefore, the histograms of all parameters (see Supplementary  
337 Figure 17-20) show high frequencies in the center and low frequencies on the tails  
338 on both sides, for which practical identifiability was concluded. This is confirmed  
339 by the resulting RAD values, which range from 5% - 14%.

### 340 **3.1.3 Sensitivity analysis**

341 A sensitivity analysis was performed to reduce the number of adapted and compared  
342 parameters to the sensitive ones only (see 2.7). The parameters shown in Table 2  
343 were identified to be sensitive:

344 [Table 2 about here.]

345  $\mu_{\max}$  was identified to be the most sensitive parameter, which is typical in Monod-  
346 type models as the main parameter describing  $X_v$ , which is linked to all differential  
347 equations (Supplementary Table 1). Moreover, the parameters associated with the  
348 glutamine metabolism ( $q_{\text{Gln,max}}$ ,  $k_{\text{Gln}}$ ) are sensitive because the glutamine concen-  
349 tration, as a main substrate (besides glucose), is also directly linked to cell growth.  
350 The inhibitory effect of ammonium is also linked to  $X_v$  and the ammonium-related  
351 model parameters  $Y_{\text{Amm,Gln}}$  and  $k_{\text{Amm}}$  are sensitive. The sensitivity of glucose-related  
352 model parameters is rather low ( $k_{\text{S,Glc}}$ ).  $q_{\text{Ab}}$  describes the cell-specific antibody pro-  
353 duction and is sensitive regarding the product formation and was therefore included.  
354 Only these parameters were re-adjusted in the following evaluation of the scale-up

355 procedure and for the non-sensitive parameters, the previously determined average  
356 values were used (Supplementary Table 3).

## 357 **3.2 Transfer from process development to process imple-** 358 **mentation**

359 The cell line, the cultivation protocols and the process strategy were transferred to  
360 a different research institute (TUHH to ZHAW), comparable to a tech transfer from  
361 research and development to process implementation and scale-up. In the beginning,  
362 the process strategy was scaled up to a stirred bioreactor system (see 2.10.2, working  
363 volume: 250 ml) for verification experiments. The formerly determined feeding  
364 strategy was slightly adapted due to practical bioreactor handling and to ensure  
365 process robustness. Therefore, the glucose concentration in the feed was previously  
366 identified to have only a low impact on the bioprocess (Möller et al., 2019b) and  
367 was changed to 111 mmol l<sup>-1</sup> to avoid overfeeding. The glutamine concentration in  
368 the feed was 9 mmol l<sup>-1</sup> and the feeding rate slightly resembled an exponential-like  
369 feeding (see 2.10.2).

### 370 **3.2.1 MC-based uncertainty quantification**

371 Three test runs were performed with the transferred and scaled up process strategy  
372 (stirred bioreactors, 250 ml) and the model parameters were estimated using the MC-  
373 based method (2.2). The experimental data and the model simulations including  
374 the parametric uncertainty-based prediction bands are shown in Figure 3.

375 [Figure 3 about here.]

376 The exponential growth phase was simulated well for the viable (see Fig. 3 A) and  
377 total cell density (Fig. 3 B) starting with approx.  $0.3 \cdot 10^6$  cells ml<sup>-1</sup> until a final  
378 concentration of  $23 \cdot 10^6$  cells ml<sup>-1</sup> (168 h). In general, further cell growth in the  
379 stationary phase progressed with reduced cell volume, limitations, and inhibitory



380 effects (Zeng et al., 1998). This was only partly included in the model and the  
381 maximal cell concentrations ( $X_v$ ,  $X_t$ ) were therefore slightly underestimated in the  
382 stationary phase. The glucose concentration (Fig. 3 C) was well predicted by the  
383 model including the feed pulses and the late glucose consumption after the last feed  
384 pulse ( $t > 138$  h). The lactate concentration (Fig. 3 D) was predicted with vari-  
385 ations during lactate formation but the uptake of lactate was predicted sufficiently.  
386 Glutamine (Fig. 3 E) and ammonium (Fig. 3 F) were simulated accordingly with the  
387 experimental data and the antibody concentration (Fig. 3 G) increased constantly  
388 up to  $387 \pm 16$  mg l<sup>-1</sup> (average of 216 h and 240 h), which was also simulated.  
389 The volume (Fig. 3 H) was simulated as measured.  $R^2$  and NRMSD are shown in  
390 Supplementary Table 4. Overall, the simulations are in good agreement with the  
391 experimental data and the model reflects the bioprocess dynamics sufficiently. A  
392 description of the mechanistic links using a mathematical process model is the basis  
393 of the proposed concept and an appropriate description of the bioprocess needs to  
394 be ensured if the workflow is applied to a different cell line or process.

### 395 **3.2.2 Statistical comparison of parameter distributions**

396 As proposed in the parametric uncertainty-based workflow (Fig. 1, box 3), the  
397 means of the parameter distributions are statistically compared to evaluate if the  
398 dynamics of the bioprocess changed (Figure 4). The mean parameter values are  
399 listed in Supplementary Table 3.

400 [Figure 4 about here.]

401 An increase of 23 % was determined in the mean of  $\mu_{\max, \text{norm}}$ , which shows a  
402 higher cell growth in pH and pO<sub>2</sub> controlled bioreactors. Moreover, the glutamine-  
403 dependent model parameters differ significantly between both scales thus indicat-  
404 ing an average lower maximal uptake rate ( $q_{\text{Gln}, \max, \text{norm}}$ ) and a different affinity to  
405 the glutamine availability ( $k_{\text{Gln}, \text{norm}}$ ,  $K_{s, \text{Gln}, \text{norm}}$ ). The same trend was identified for

406  $Y_{\text{Amm,Gln,norm}}$  with a higher ammonium formation in the bioreactor experiments com-  
407 pared to the shake flask cultivations. The dissociation of  $\text{NH}_3$  to  $\text{NH}_4$  is affected by  
408 the pH, thus explaining different ammonium concentrations in the controlled biore-  
409 actor experiments compared to the shake flask cultures (Lüdemann et al., 1994).  
410  $q_{\text{Ab,norm}}$  was widely distributed in the shake flask cultivation, which indicates its  
411 correlation to the different investigated feeding strategies. The width of the whiskers  
412 was narrower in the bioreactor runs and the mean  $q_{\text{Ab,norm}}$  was reduced in the trans-  
413 ferred process. However, the overall process titer was comparable in both scales due  
414 to a higher viable cell density in the bioreactor cultivations. The means of  $k_{\text{Amm,norm}}$   
415 were not significantly different between both scales.

416 In summary, differences in the dynamics of the growth and metabolism could be  
417 statistically identified for the transfer from process development (shake flask) to  
418 process implementation scale (stirred bioreactor). Moreover, these differences could  
419 be quantified and actions could be recommended based on the proposed workflow.  
420 Therefore, a re-validation of the formerly determined process strategy was recom-  
421 mended to ensure that the targeted design space (i.e. process strategy) is still met  
422 (Fig. 1, box 4).

### 423 3.3 Validation of process strategy

424 The validation of the formerly determined process strategy during process imple-  
425 mentation was performed using mDoE (Möller et al., 2019b). Therefore, the glu-  
426 tamine concentration in the feed ( $F_{\text{Gln,feed}}$ ) and the relative feeding rate ( $F_{\text{Rate}} \cdot F =$   
427  $F_{\text{Rate,experimental}}$ ) were defined as experimental factors. As an example, if  $F$  is de-  
428 fined as two, it means that all feed pulses ( $F_{\text{Rate,experimental}}$ , see 2.10.2) were doubled.  
429 Validation cultivations were planned using an I-optimal DoE design mode (16 rec-  
430 ommended experiments). The planned cultivations were simulated using the model  
431 (mean model parameters as in 3.2.2) and the maximal antibody concentration was  
432 defined as response. A quadratic response surface model (RSM) was estimated

433 (Design Expert 11) and the contour plot is seen in Figure 5 A.

434 [Figure 5 about here.]

435 The current process settings (Fig. 5 A) were at the maximal achievable antibody  
436 concentrations within a flat area, which reflects a stable point of operation. To vali-  
437 date the process strategy and to ensure process stability, four validation experiments  
438 were planned (white stars Fig. 5 A). It was aimed to ensure the stability of the pro-  
439 cess and to identify the shape of the maximum. The validation cultivations were  
440 experimentally performed and the experimental settings were included with their re-  
441 spective maximum antibody concentrations as design points in the DoE (Fig. 5 B).  
442 The shape of the maximal antibody concentration slightly changed with an optimal  
443 area between the performed validation cultivations, without harsh boundaries, and a  
444 flat area. The process stability could, therefore, be ensured and the formerly defined  
445 process (Fig. 5 A/B) was not changed. The main advantage of using mDoE here is  
446 that the stability of the process could be validated for the quantified changes in the  
447 process dynamics throughout the model parameter uncertainty determination.

### 448 **3.4 Scale-up from 250 ml to 2 l**

449 The implemented and validated process strategy was scaled up to 2 l scale, with  
450 the same hydrodynamics as at the 250 ml scale (see 2.10.3). Three test runs were  
451 performed and the scale-up was evaluated as proposed in the workflow (Fig. 1).  
452 Therefore, the model parameter distributions of the 2 l bioreactor experiments were  
453 estimated using the MC-based method (2.2) and statistically compared to the 250 ml  
454 scale.

#### 455 **3.4.1 MC-based uncertainty quantification**

456 The model-based simulations, with 10% and 90% quantiles of simulation and the  
457 experimental data, are shown in Figure 6.

458

[Figure 6 about here.]

459 Overall, the model predictions of the process at the 2 l (Fig. 6) scale were comparable  
460 to the process implementation at 250 ml scale (Fig. 3). Differences in  $R^2$  and  
461 NRMSD (see Supplementary Table 5) were low.

### 462 3.4.2 Statistical comparison of parameter distributions

463 The dynamics of the process were quantified with the statistical comparison of the  
464 parameter distributions (Figure 7). The means of the parameter distributions are  
465 shown in Supplementary Table 2.

466

[Figure 7 about here.]

467  $\mu_{\max,\text{norm}}$ ,  $k_{\text{Gln},\text{norm}}$ ,  $K_{\text{s,Gln},\text{norm}}$ ,  $Y_{\text{Amm,Gln},\text{norm}}$ ,  $q_{\text{Gln},\text{max},\text{norm}}$ , and  $q_{\text{Ab},\text{norm}}$  were iden-  
468 tified to be not significantly different on a 5% significance level.  $k_{\text{Amm},\text{norm}}$  was  
469 slightly higher in the scaled up process than during the process implementation  
470 runs (250 ml), but no differences were present in the maximal ammonium concen-  
471 tration and this change was therefore neglected. In summary, the process dynamics  
472 remain stable during the scale-up from process implementation to process scale-up.  
473 In conventional scale-up studies, the pure cultivation data of both scales (250 ml and  
474 2 l, respectively) would have been compared and a heuristic decision of the goodness  
475 of scale-up would have been drawn (e.g. same maximal titer, trends) (Rameez et al.,  
476 2014; Li et al., 2013). In the proposed workflow, the model uncertainty is quantified  
477 based on the available experimental variability and measurement error. Therefore,  
478 the process variability is determined on a timely axis (10% and 90% quantiles of  
479 simulation, Fig. 6) and in the parameter distributions (Fig. 7). This enables a  
480 knowledge-driven decision-making routine based on the process dynamics with the  
481 incorporation of the available data in the process model. In accordance with the  
482 proposed workflow (Fig. 1, 3) scale-up has proceeded with the confirmed process  
483 strategy.

### 484 **3.5 Scale-up to 50 l pilot scale**

485 The process strategy was further scaled up to 50 l pilot scale and one verification  
486 cultivation was performed. In general, the quantification of the model parameter  
487 distributions requires multiple cultivation runs (i.e. 3), which were not available for  
488 the pilot scale. Therefore, the formerly determined parameter distributions (250 ml  
489 and 2 l, respectively) were used to predict the model parametric uncertainties of the  
490 pilot scale run *a priori*, as shown in Figure 8.

491 [Figure 8 about here.]

492 The experimental data is simulated well and is in good alignment with the mean  
493 and the 10% and 90% quantiles of simulation. The antibody concentration (Fig.  
494 8 G) increased constantly up to 367 mg l<sup>-1</sup> and is comparable to the formerly  
495 scaled up processes. The main advantage of predicting the 10% and 90% quantiles  
496 based on the formerly determined parameter distributions is that the experimental  
497 variability is incorporated, even if the knowledge is gained at different scales. In  
498 summary, the process strategy was successfully scaled up to 50 l pilot scale and the  
499 formerly obtained knowledge was considered with the prediction of the 10% and  
500 90% quantiles.

## 501 **4 Conclusion**

502 A workflow for a knowledge-driven computational evaluation of the process strategy  
503 during scale-up was introduced. Therefore, the process dynamics are described by  
504 a mathematical process model and the model parameters are represented as prob-  
505 ability functions, which are determined based on the experimental variability. The  
506 probability functions derived at different scales are then statistically compared to  
507 identify changes in the bioprocess dynamics and validation of the process strat-  
508 egy is recommended if the dynamics are significantly different. Otherwise, scale-up

509 can proceed, and the process strategy is to be considered sufficient. This workflow  
510 was discussed on the scale-up of a CHO DP-12 fed-batch process, which was suc-  
511 cessfully scaled up to 50 l pilot scale. The introduced approach provides a novel,  
512 knowledge-driven decision-making tool for bioprocess development and implemen-  
513 tation. Further studies will focus on the automated re-design of process strategies  
514 with the consideration of the process model during scale-up and the combination of  
515 computational fluid dynamics with the process model.

## 516 5 Nomenclature

Variable	Explanation	Unit
$c_i$	concentration of the component $i$	[mmol l <sup>-1</sup> ]
$d_{j,\max}$	maximum value of a data set	[-]
$d$	difference in means	[-]
$F$	volume factor for feeding rate	[-]
$F_{\text{Gln,feed}}$	glutamine concentration in feed	[mmol l <sup>-1</sup> ]
$F_{\text{Rate}}$	feed rate	[ml d <sup>-1</sup> ]
$F_{\text{Rate,experimental}}$	implemented feed rate	[ml d <sup>-1</sup> ]
$k_i$	growth constant	[-]
$K_i$	kinetic constant	[mmol l <sup>-1</sup> ]
$k_L a$	volumetric mass transfer coefficient	[s <sup>-1</sup> ]
$P/V_L$	volumetric power input	[W m <sup>-3</sup> ]
$q_i$	production/consumption rate	[mmol cell <sup>-1</sup> h <sup>-1</sup> ]
517 $R^2$	coefficient of determination	[-]
$S$	sensitivity coefficient	[-]
$t$	time	[h]
$V$	reactor working volume	[l]
$X_d$	dead cell density	[cells ml <sup>-1</sup> ]
$X_t$	total cell density	[cells ml <sup>-1</sup> ]
$X_v$	viable cell density	[cells ml <sup>-1</sup> ]
$y_j$	state variables	[-]
$Y_i$	yield coefficients	[-]
$y_{\text{sim},j}$	simulation of state variables	[-]
$\mu_{\max}$	maximum specific growth rate	[h <sup>-1</sup> ]
$\sigma^2$	variance	[-]
$ \bar{\theta}^{(k)} $	mean parameter	[-]
$\theta^{(k)}$	parameter	[-]

## 518 6 Abbreviations

Abbreviation	Explanation
Ab	antibody
Amm	ammonium
BR	bioreactor
CI	confidence interval
CFD	computational fluid dynamics
CHO	chinese hamster ovary
DAPI	4',6-diamidin-2-phenylindol
Glc	glucose
519 Gln	glutamine
Lac	lactate
MC	Monte Carlo
mDoE	model-assisted Design of Experiments
NRMSD	normalized root mean square deviation
QbD	quality by design
RAD	relative average deviation
RSM	response surface model
SF	shake flask

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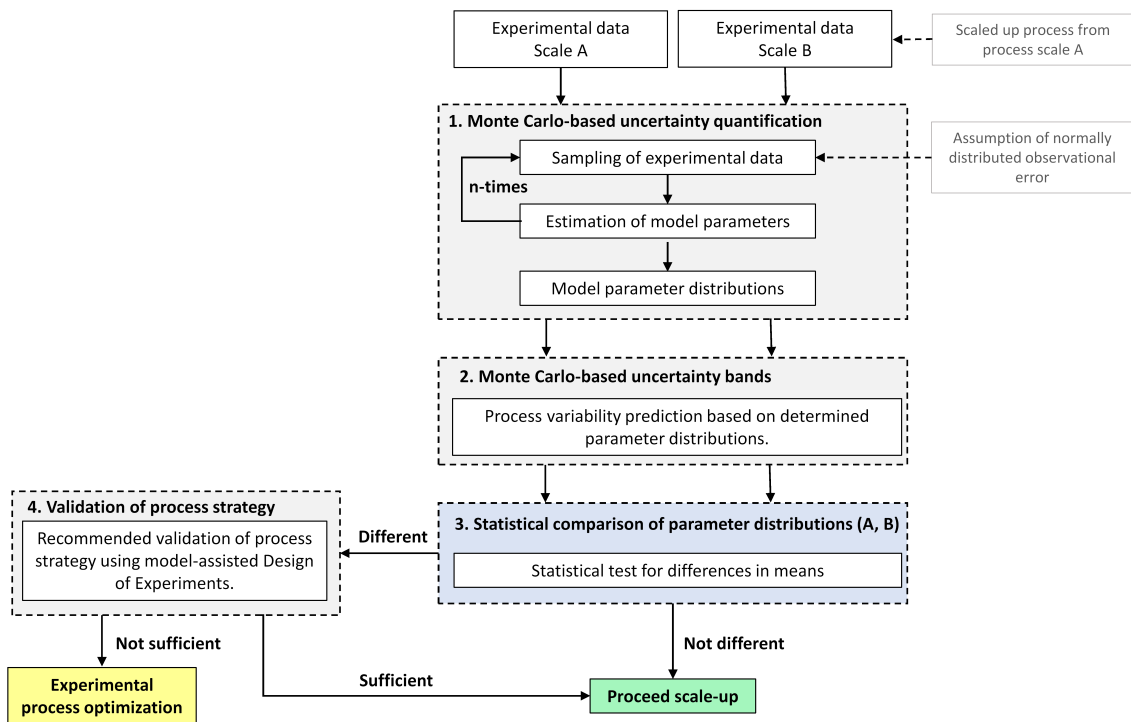


Figure 1: Proposed uncertainty-based workflow for the evaluation of scale-up

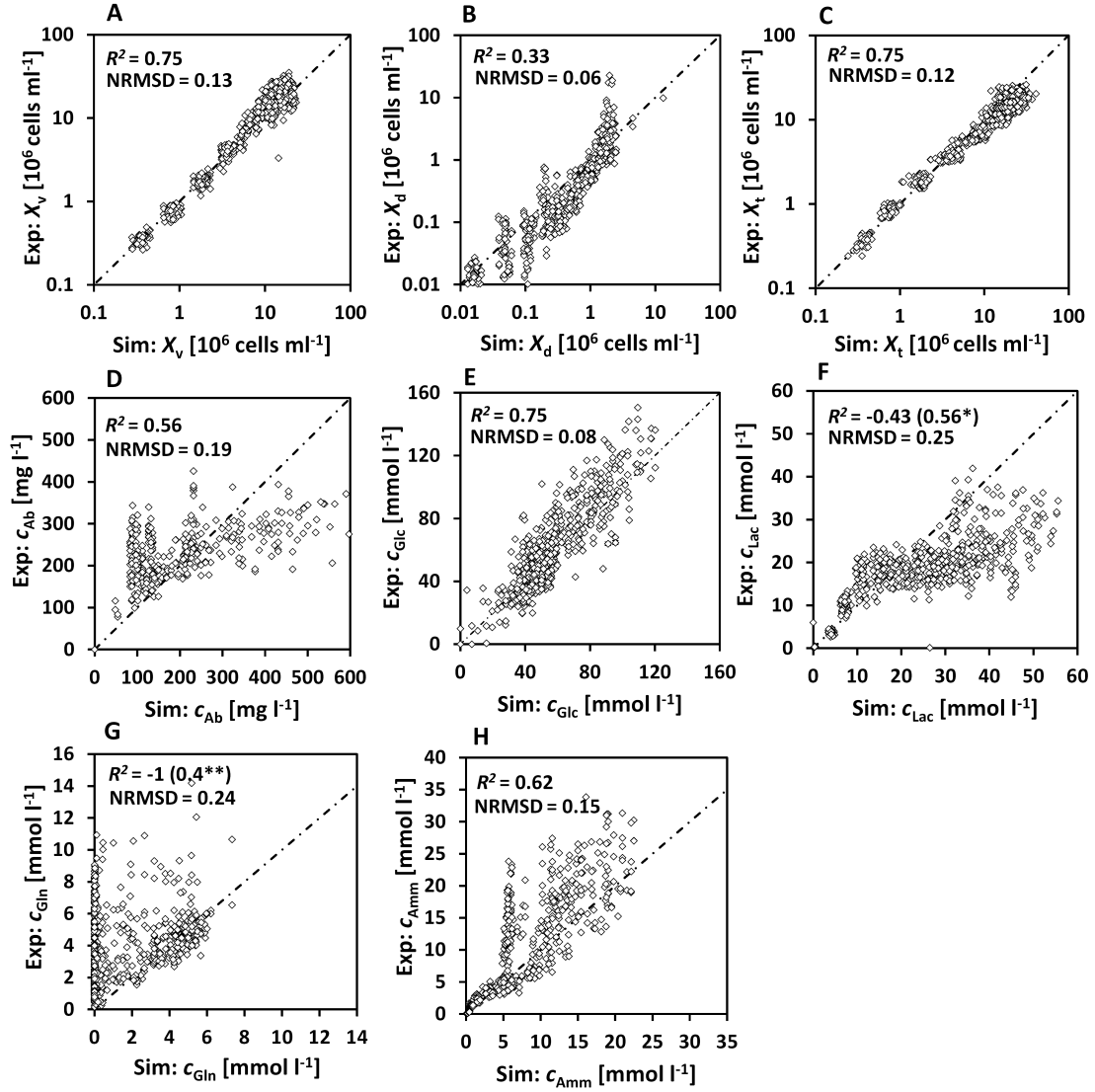


Figure 2: Comparison of experimental (Exp:) and mean simulated data (Sim:) summarized for 29 performed fed-batch cultivations in shake flasks (30 ml - 50 ml) (see 2.10.1).  $R^2$  reflects goodness of fit against the optimal simulation ( $x=y$ ); \* =  $R^2$  for the first 144 h (lactate formation); \*\* =  $R^2$  for the first 96 h

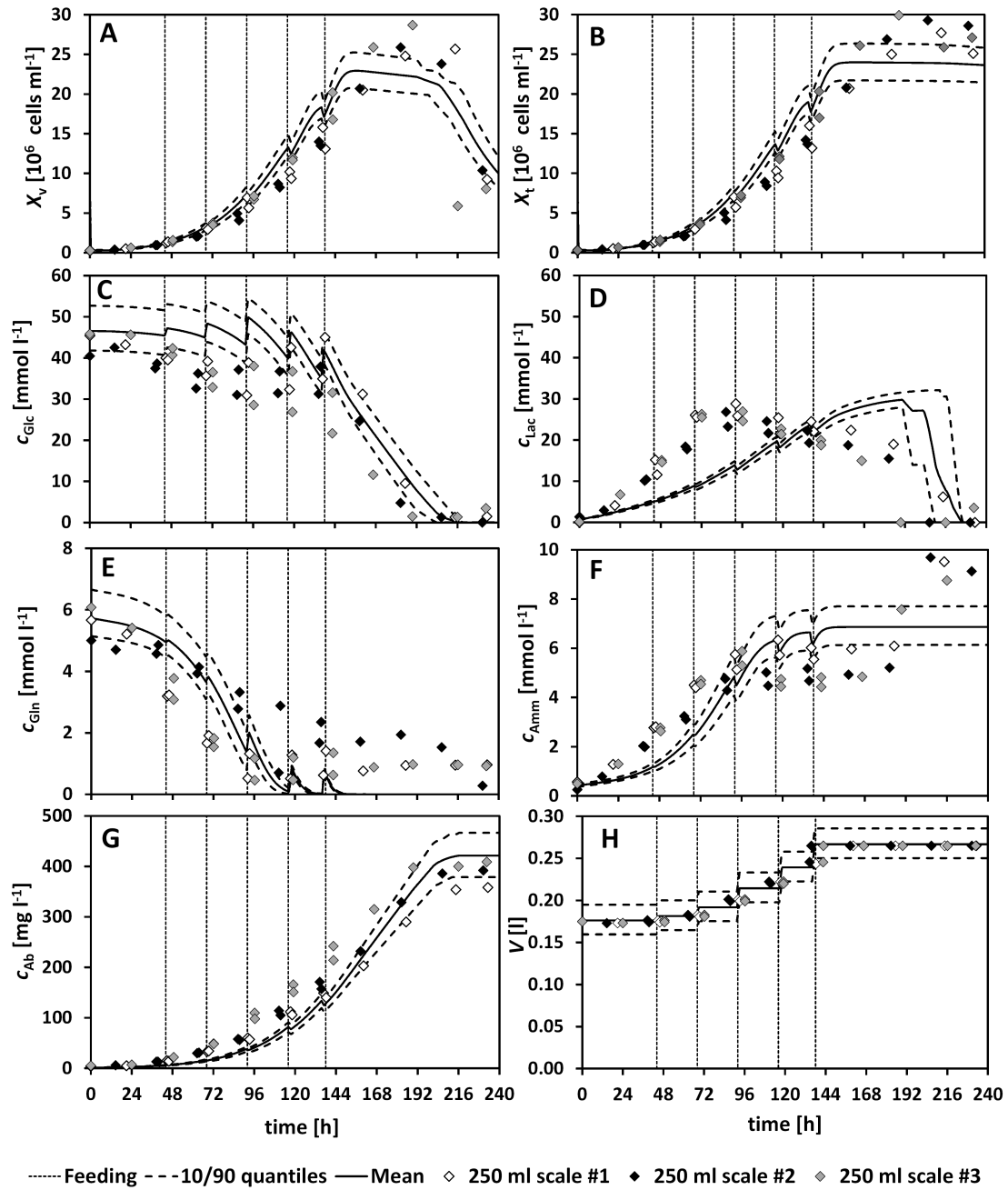


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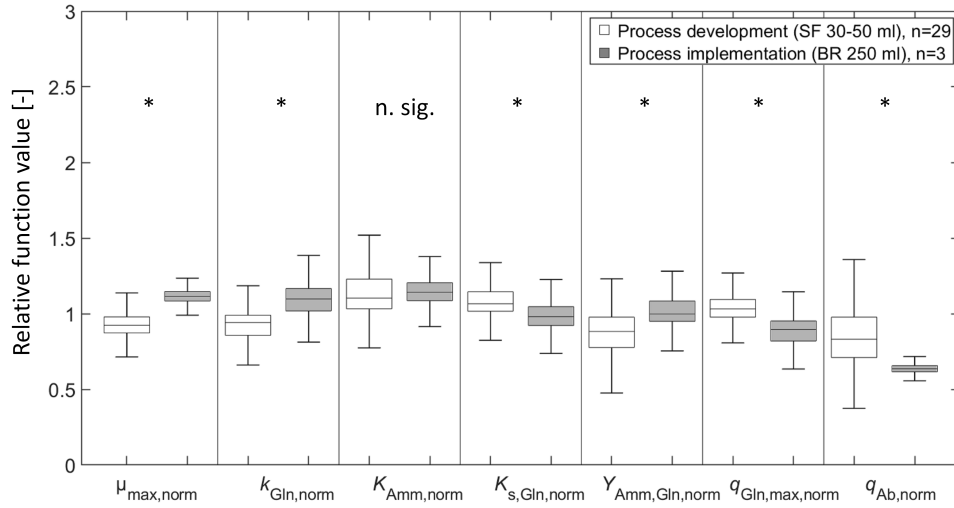


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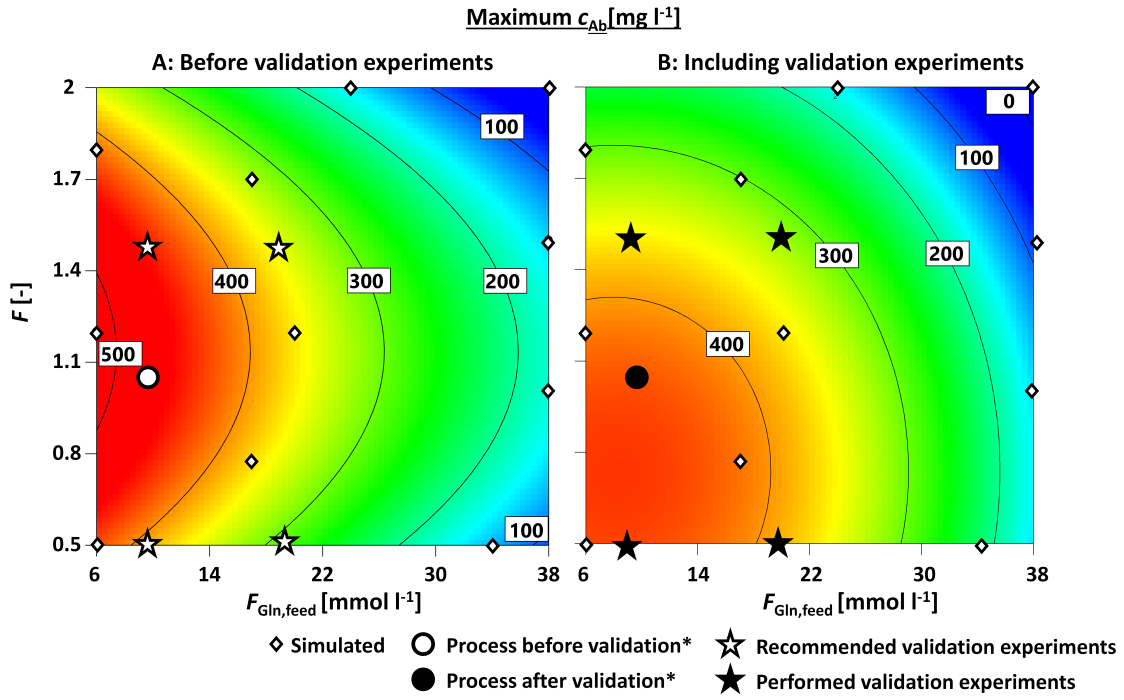


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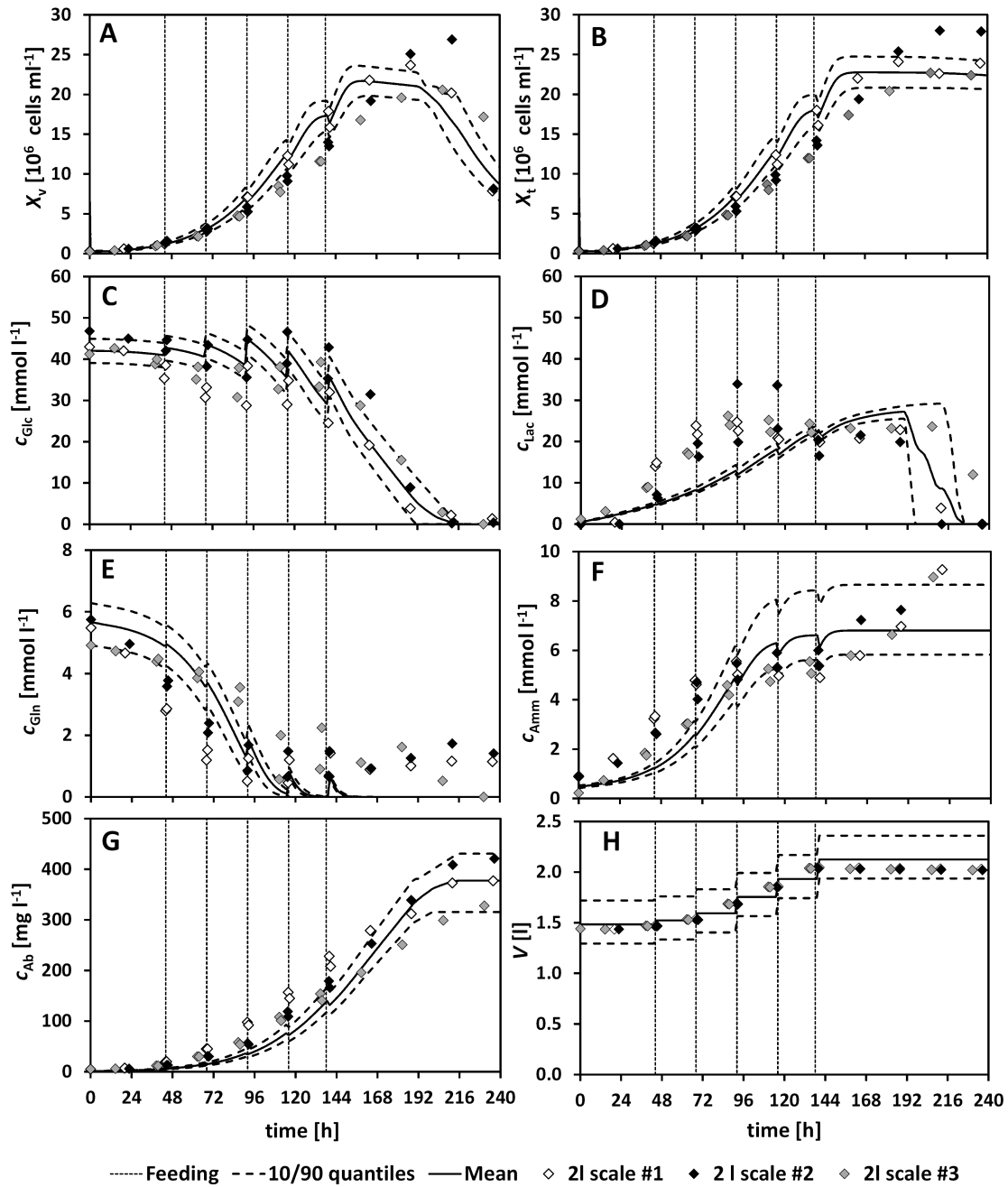


Figure 6: Experimental results (diamonds) of the fed-batch culture at 2 l bioreactor scale, solid line is the median of 1000 simulations based on the MC-based method (see 2.2), dashed line represents the 10% and 90% quantiles of the simulations based on 1000 independent parameter estimations; feeding was performed every 24 h (pointed line) with a start at 48 h.

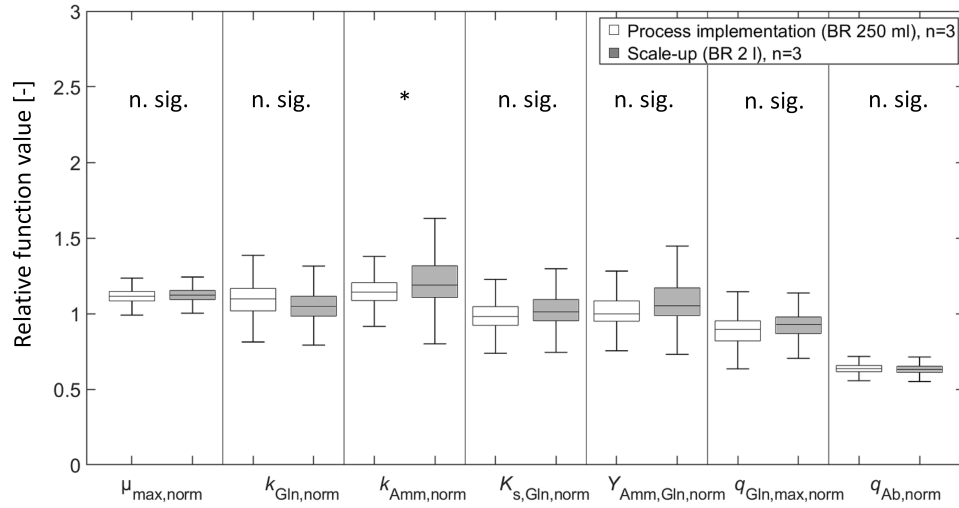


Figure 7: Box-plots of the normalized parameters of the process implementation runs (250 ml) compared to the process scale-up (2 l), box-plots show the intrinsic distribution of 1000 independent parameter estimations per box, normalization of parameters on their individual starting parameter values during parameter estimation (Supplementary Table 2), \*=significant, n. sig. = not significant

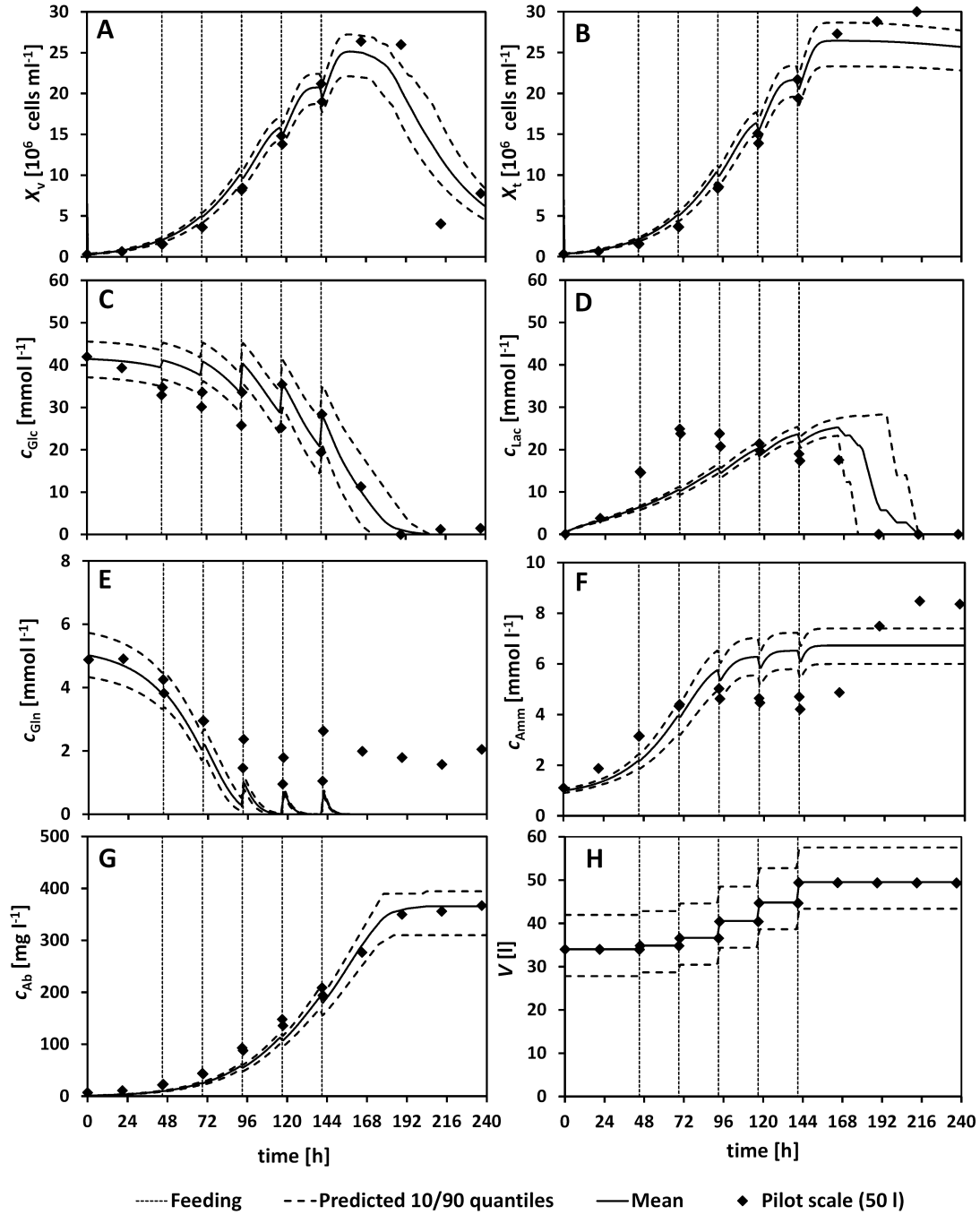


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718 2 Sensitive model parameters, sensitivity analysis as described in 2.7,  
719 \*considered significant due to direct linkage to product titer . . . . . 40

Table 1: Summarized performed experiments in this study

<b>Aim</b>	<b>Number of cultivations</b>	<b>Working volume (cultivation system)</b>
Process development	29 (2 blocks)	30 ml - 50 ml (shake flask, Corning, Netherlands)
Process implementation	3	250 ml (Ambr250, Sartorius Stedim Biotech, Germany)
Validation of process strategy	4	250 ml (Ambr 250)
Scale-up	3	2 l (UniVessel, Sartorius Stedim Biotech)
Scale-up	1	50 l (BIOSTAT STR50, Sartorius Stedim Biotech)

Table 2: Sensitive model parameters, sensitivity analysis as described in 2.7, \*considered significant due to direct linkage to product titer

Parameter	S [%]
$\mu_{\max}$	103 %
$k_{\text{Gln}}$	51 %
$k_{\text{Amm}}$	27 %
$K_{s,\text{Gln}}$	12 %
$Y_{\text{Amm},\text{Gln}}$	45 %
$q_{\text{Gln},\max}$	44 %
$q_{\text{Ab}}^*$	significant



# Model uncertainty-based evaluation of process strategies during scale-up of biopharmaceutical process

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Björn Frahm, Regine Eibl, Dieter Eibl, Ralf Pörtner

August 1, 2019

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# 1 Supplementary Material

## 1.1 Materials and Methods

### 1.1.1 Mathematical process model

Supplementary Table 1: Mathematical process model in batch mode, modified from [1, 2], adapted from [3]

Balance equation	Kinetic links	
<b>Biomass</b>		
$\frac{dX_v}{dt} = (\mu - \mu_d) \cdot X_v$ (1)	$\mu = \mu_{\max} \cdot \frac{c_{Glc}}{c_{Glc} + K_{s,Glc}} \cdot \frac{c_{Gln}}{c_{Gln} + K_{s,Gln}} \cdot \frac{K_{Amm}}{c_{Amm} + K_{Amm}}$ (10)	
$\frac{dX_d}{dt} = (\mu_d) \cdot X_v$ (2)		
$\frac{dX_t}{dt} = \mu \cdot X_v - K_{Lys} \cdot (X_t - X_v)$ (3)	$\mu_d = \mu_{d,\min} + \mu_{d,\max} \cdot \frac{K_{s,Glc}}{c_{Glc} + K_{s,Glc}} \cdot \frac{K_{s,Gln}}{c_{Gln} + K_{s,Gln}} \cdot \frac{c_{Amm}}{c_{Amm} + K_{s,Amm}}$ (11)	
$\frac{dV_i}{dt} = \frac{dX_v \cdot X_t - \frac{dX_t}{dt} \cdot X_v}{X_t^2}$ (4)		
<b>Substrates and metabolites</b>		
$\frac{dc_{Glc}}{dt} = -q_{Glc} \cdot X_v$ (5)	$q_{Glc} = q_{Glc,\max} \cdot \frac{c_{Glc}}{c_{Glc} + k_{Glc}} \cdot \left(\frac{\mu}{\mu + \mu_{\max}} + 0.5\right)$ (12)	
$\frac{dc_{Gln}}{dt} = -q_{Gln} \cdot X_v$ (6)	$q_{Gln} = q_{Gln,\max} \cdot \frac{c_{Gln}}{c_{Gln} + k_{Gln}}$ (13)	
$\frac{dc_{Lac}}{dt} = q_{Lac} \cdot X_v$ (7)	$q_{Lac} = Y_{Lac,Glc} \cdot \frac{c_{Glc}}{c_{Lac}} \cdot q_{Glc} - q_{Lac,uptake}$ (14)	
	$c_{Glc} < 0.5 \text{ mmol} \cdot \text{l}^{-1} : q_{Lac,uptake} = q_{Lac,uptake,\max}$ (15)	
$\frac{dc_{Amm}}{dt} = q_{Amm} \cdot X_v$ (8)	$q_{Amm} = Y_{Amm,Gln} \cdot q_{Gln}$ (16)	
<b>Antibody</b>		
$\frac{dc_{Ab}}{dt} = q_{Ab} \cdot X_v$ (9)	$q_{Ab} = \alpha$ (17)	
	$c_{Amm} \geq K_{Amm} : \frac{dc_{Ab}}{dt} = 0$ (18)	

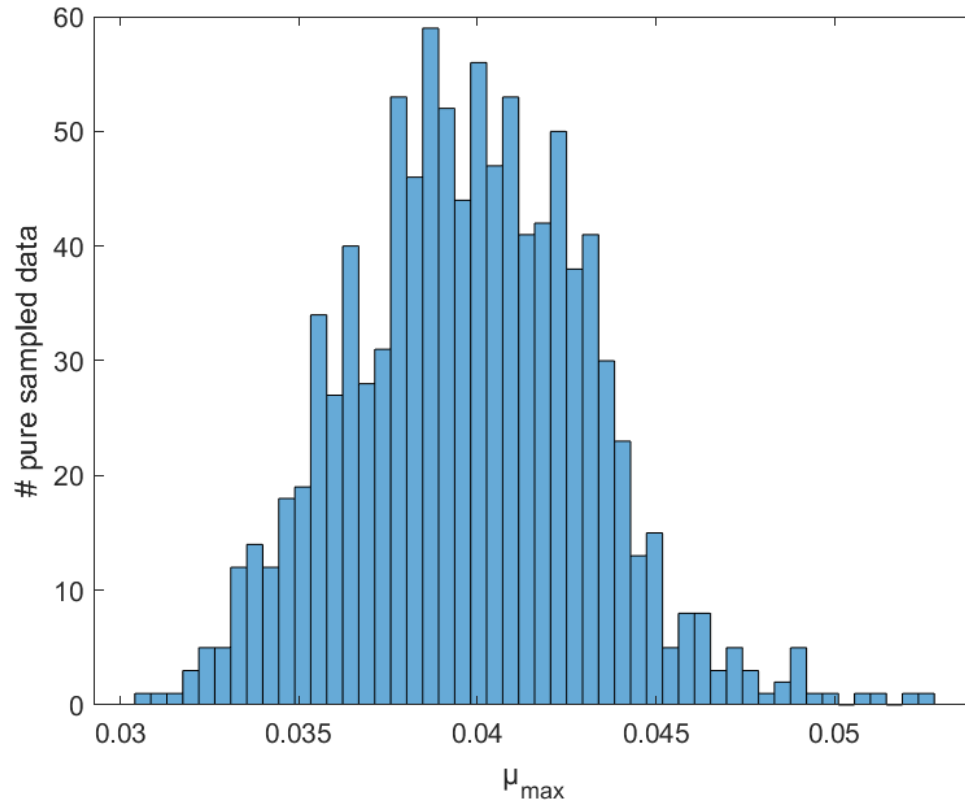
### 1.1.2 Monte Carlo-based uncertainty quantification

Supplementary Table 2: Starting values, nomenclature as in main article

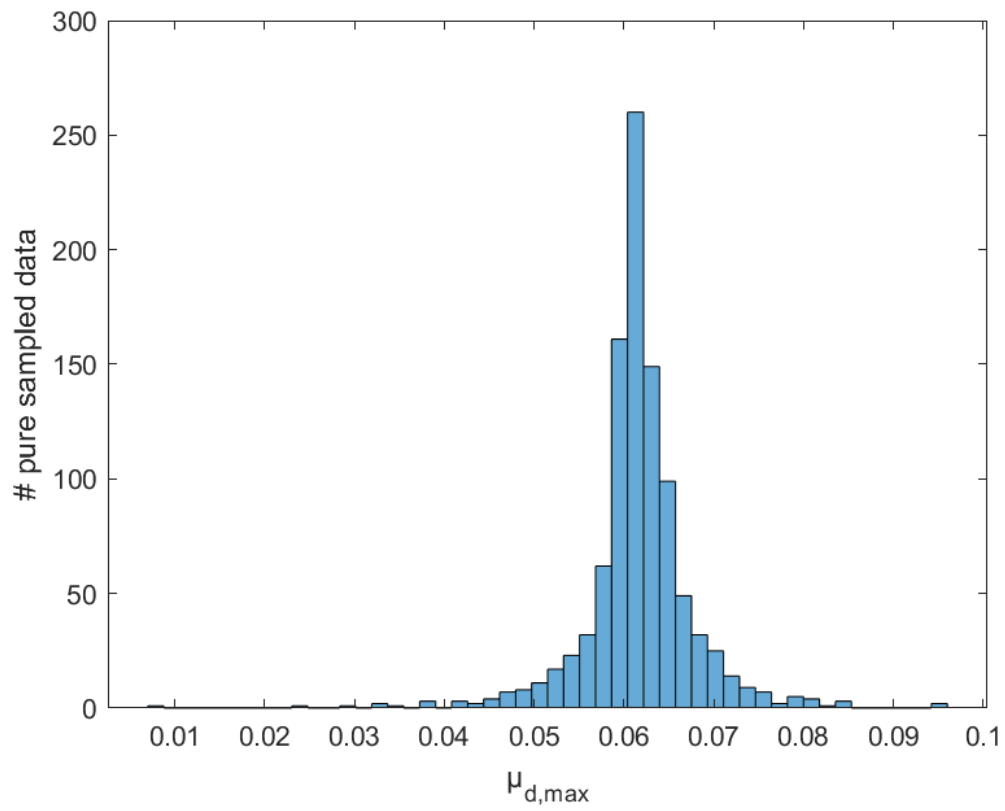
<b>Symbol</b>	<b>Unit</b>	<b>Starting values</b>
$\mu_{d,\min}$	$\text{h}^{-1}$	0.001
$\mu_{d,\max}$	$\text{h}^{-1}$	0.06
$\mu_{\max}$	$\text{h}^{-1}$	0.043
$k_{\text{Glc}}$	$\text{mmol} \cdot \text{l}^{-1}$	0.2
$k_{\text{Gln}}$	$\text{mmol} \cdot \text{l}^{-1}$	2.5
$k_{\text{Amm}}$	$\text{mmol} \cdot \text{l}^{-1}$	10
$k_{\text{Lys}}$	$\text{h}^{-1}$	0.001
$K_{s,\text{Amm}}$	$\text{mmol} \cdot \text{l}^{-1}$	10
$K_{s,\text{Glc}}$	$\text{mmol} \cdot \text{l}^{-1}$	0.02
$K_{s,\text{Gln}}$	$\text{mmol} \cdot \text{l}^{-1}$	0.03
$Y_{\text{Amm}/\text{Gln}}$	-	0.9
$Y_{\text{Lac}/\text{Glc}}$	-	0.25
$q_{\text{Glc},\max}$	$10^{-9} \cdot \text{mmol} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	0.05
$q_{\text{Gln},\max}$	$10^{-9} \cdot \text{mmol} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	0.054
$q_{\text{Lac},\text{uptake},\max}$	$10^{-9} \cdot \text{mmol} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	0.2
$q_{\text{Ab}}$	$10^{-10} \cdot \text{mg} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	3.12

## 1.2 Results and Discussion

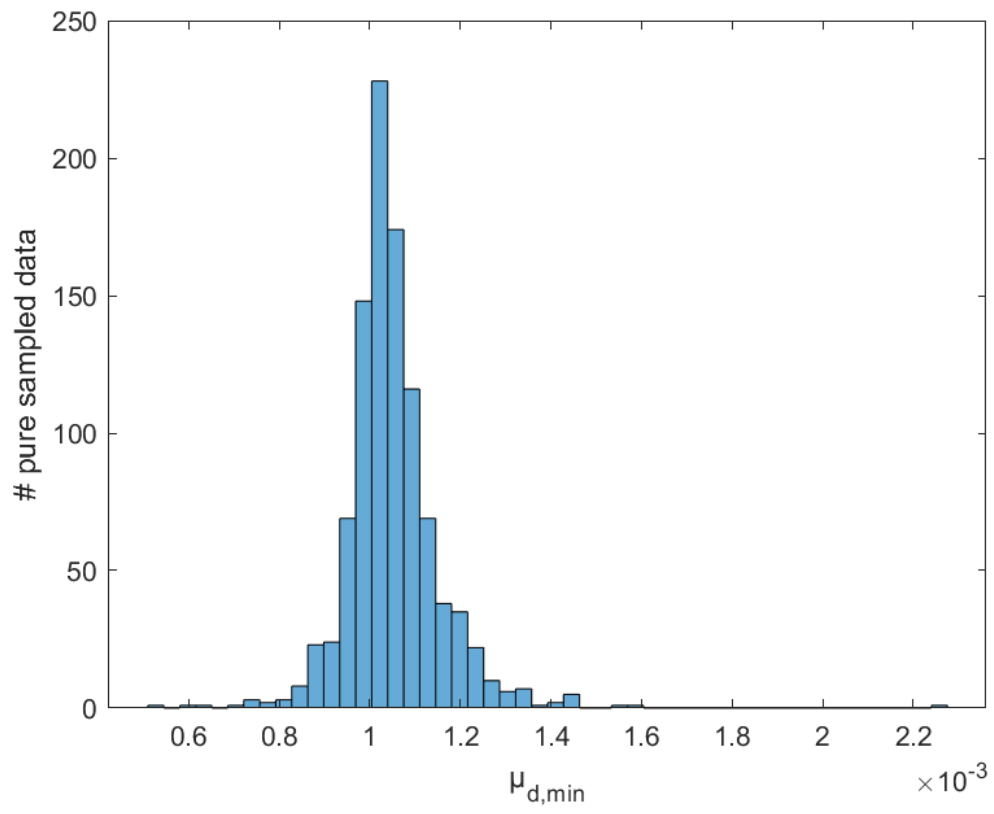
### 1.2.1 Process development (30 ml - 50 ml): Identification of fed-batch strategy



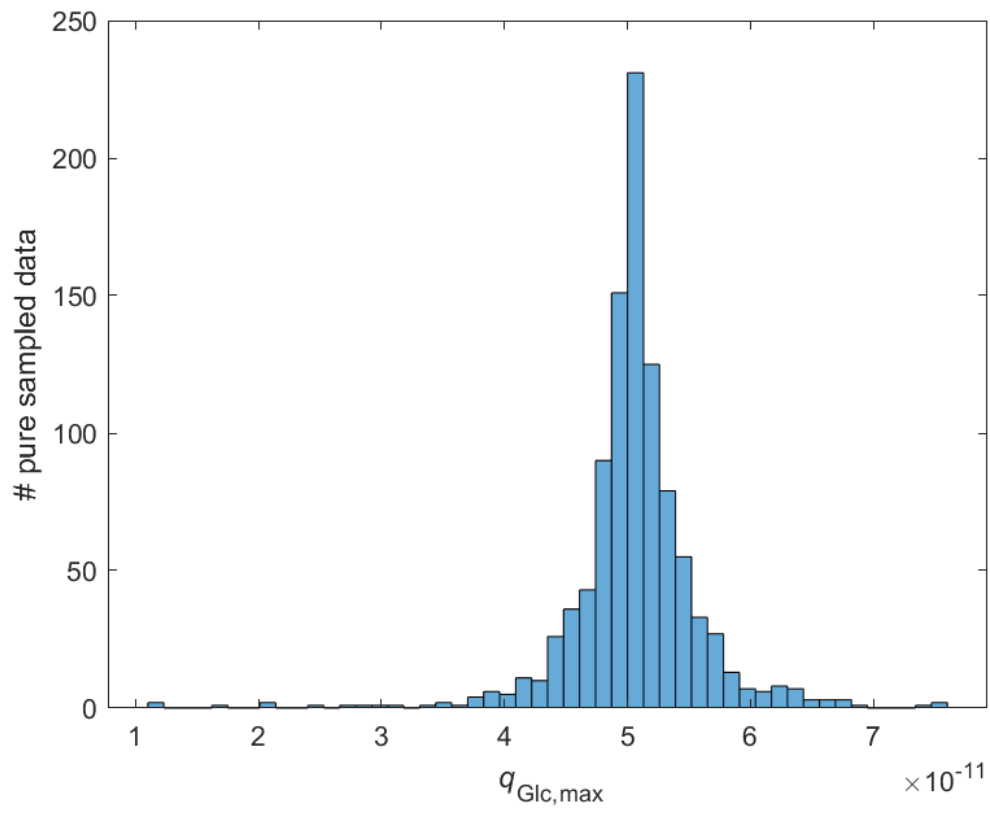
Supplementary Figure 1: Model parameter distribution, n=1000



Supplementary Figure 2: Model parameter distribution, n=1000

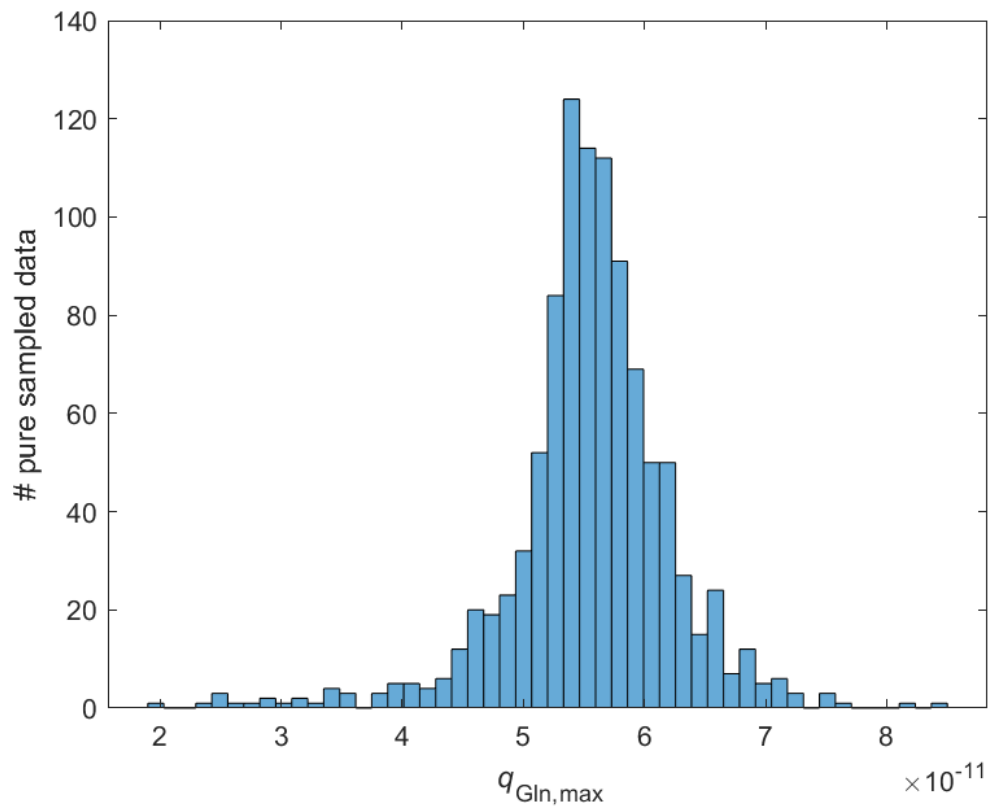


Supplementary Figure 3: Model parameter distribution, n=1000

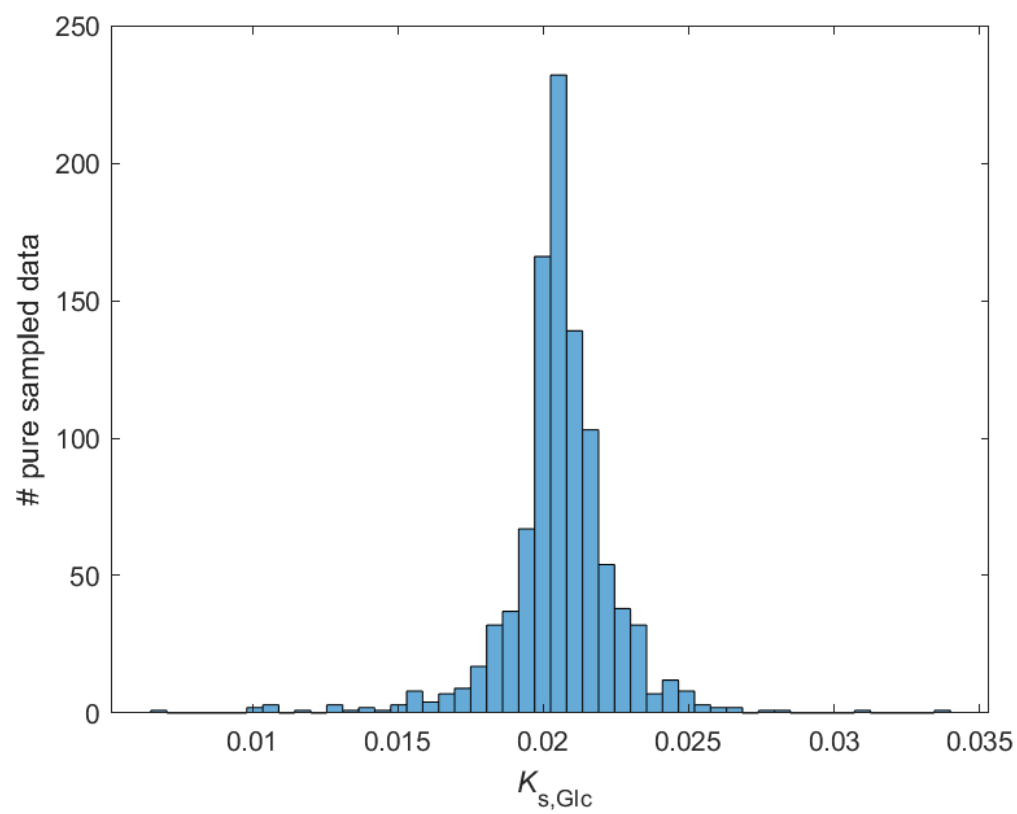


Supplementary Figure 4: Model parameter distribution, n=1000

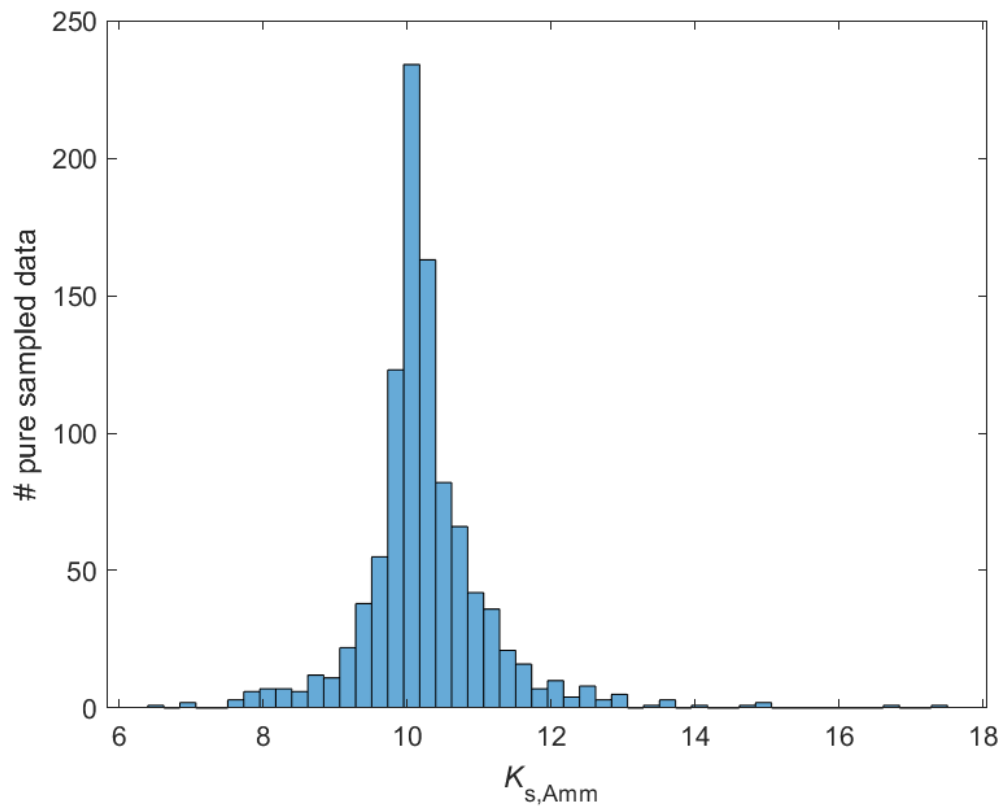




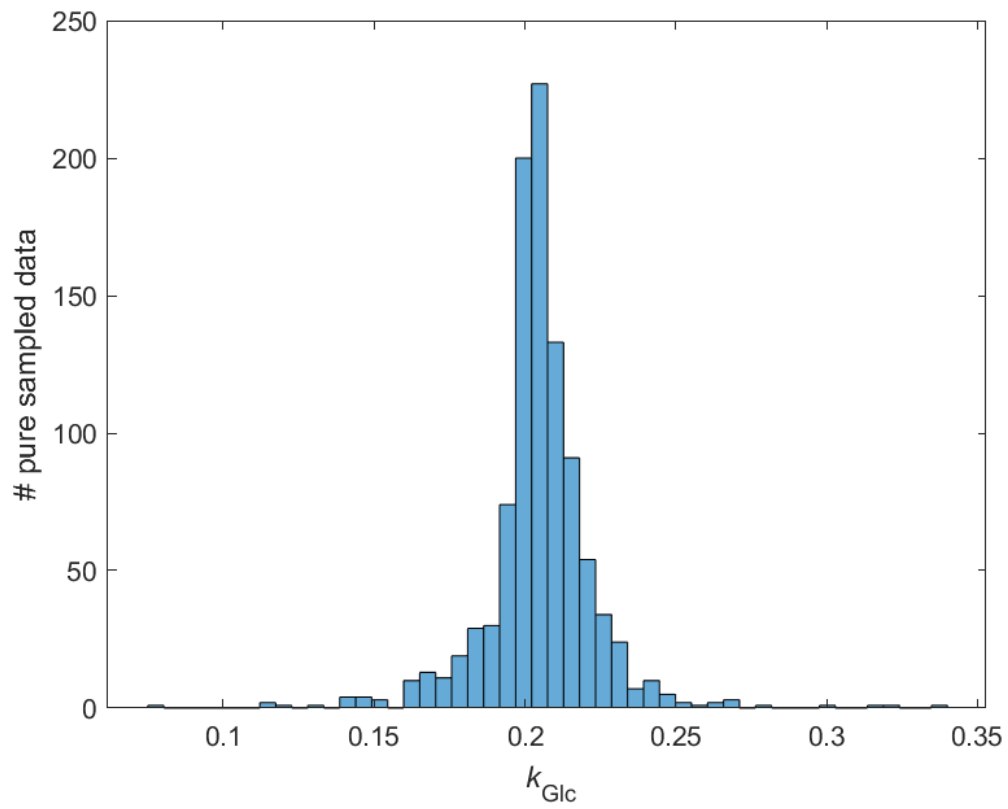
Supplementary Figure 5: Model parameter distribution, n=1000



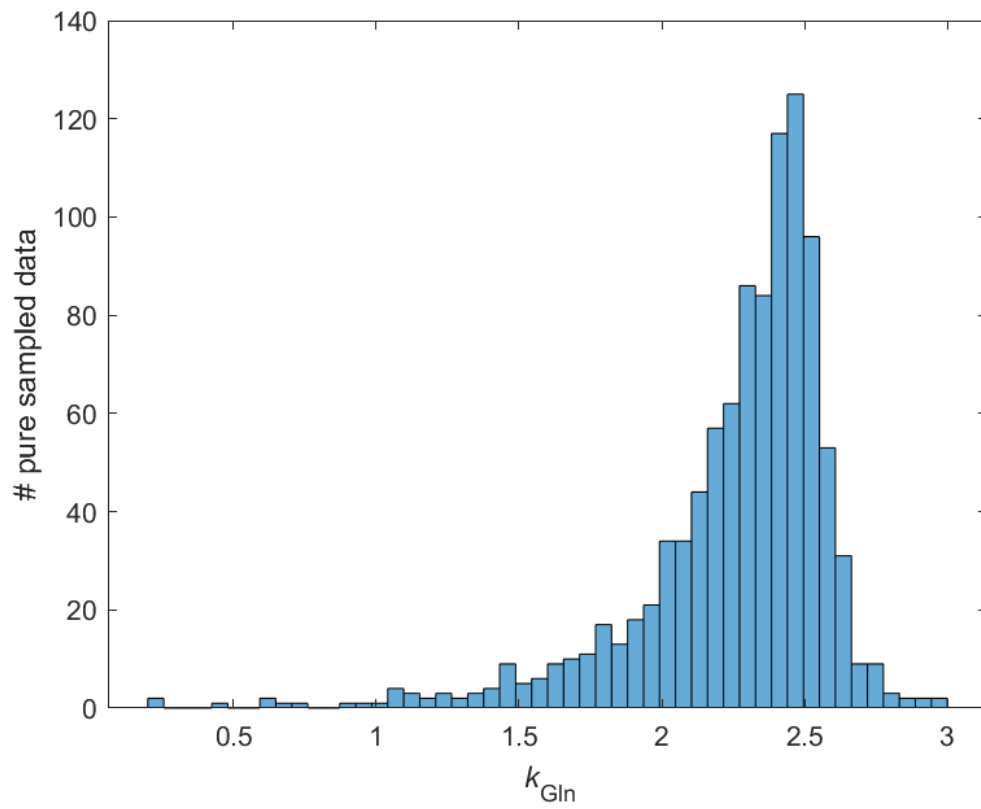
Supplementary Figure 6: Model parameter distribution, n=1000



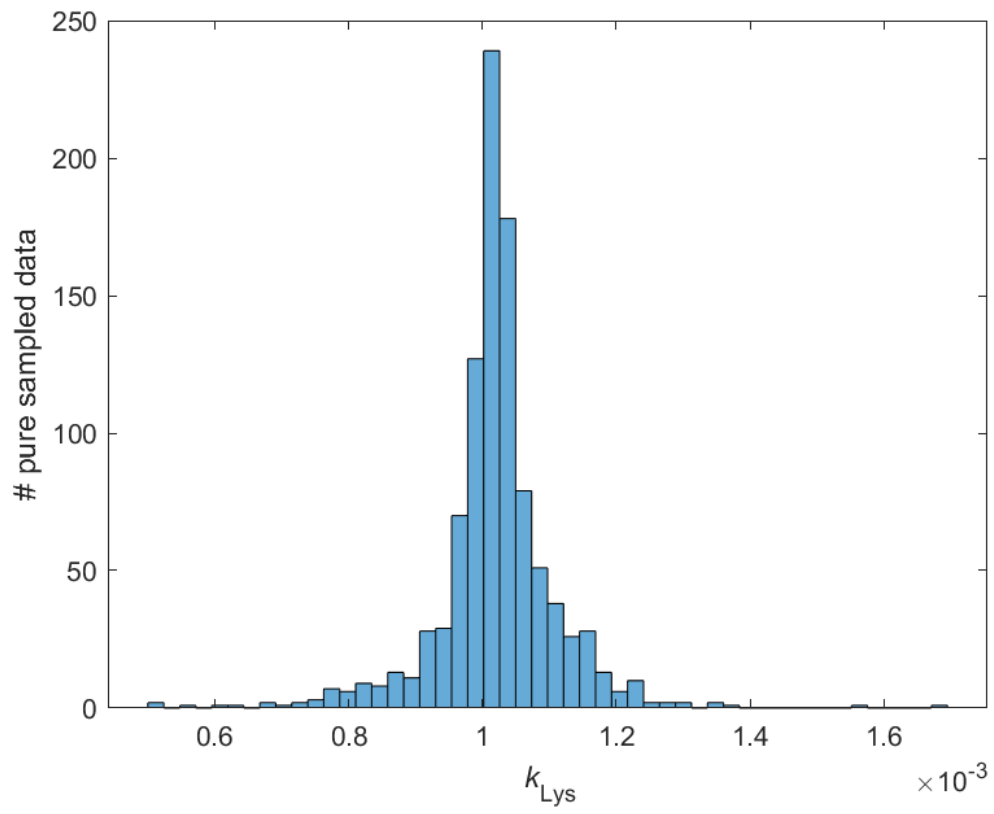
Supplementary Figure 7: Model parameter distribution, n=1000



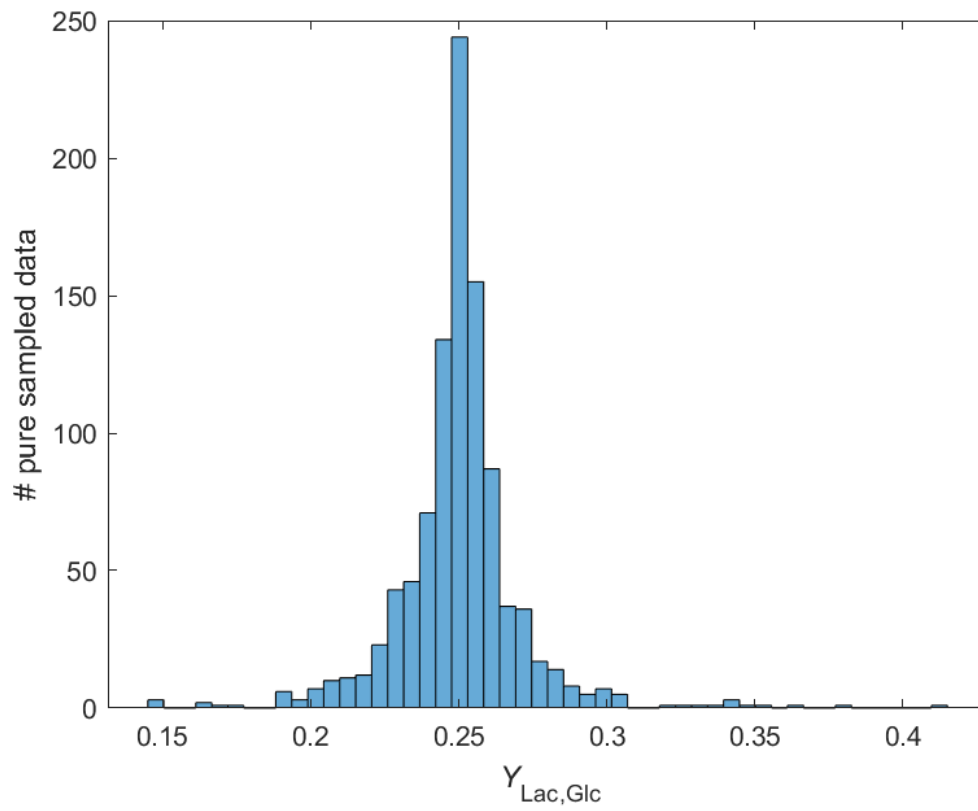
Supplementary Figure 8: Model parameter distribution, n=1000



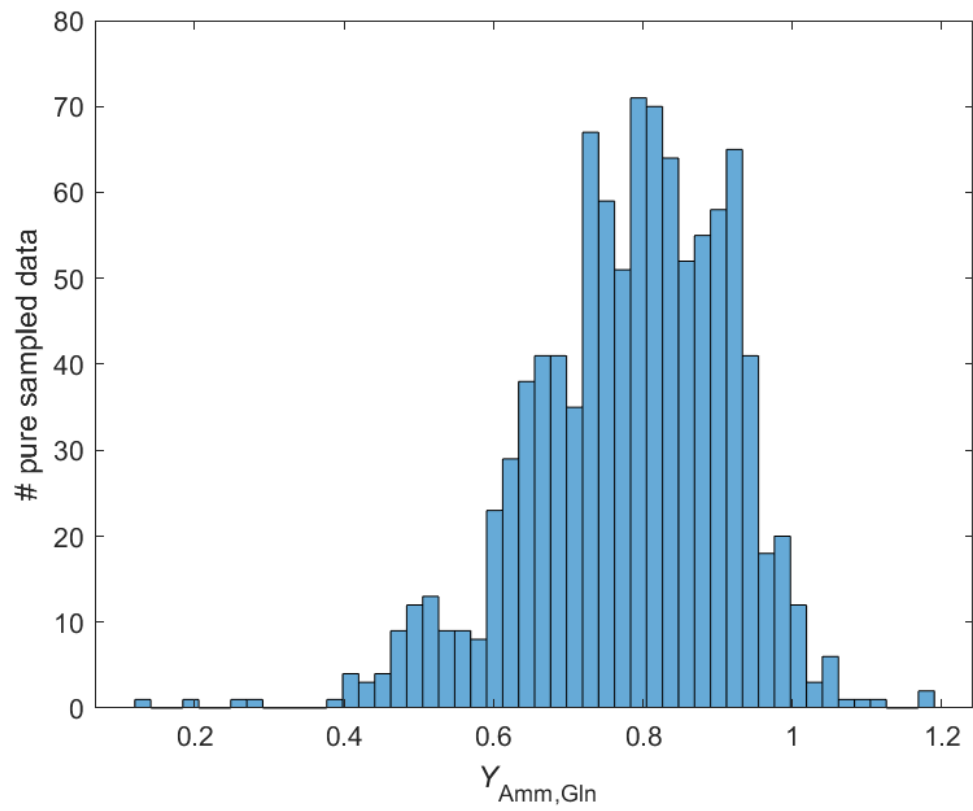
Supplementary Figure 9: Model parameter distribution, n=1000



Supplementary Figure 10: Model parameter distribution, n=1000

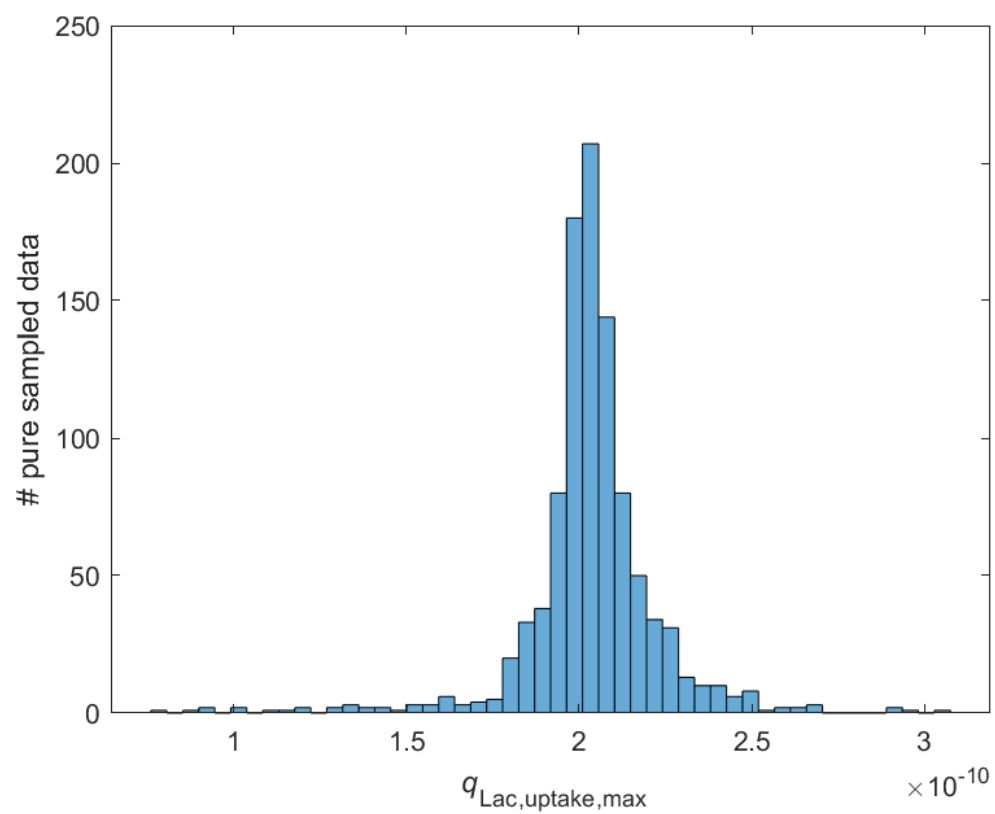


Supplementary Figure 11: Model parameter distribution, n=1000

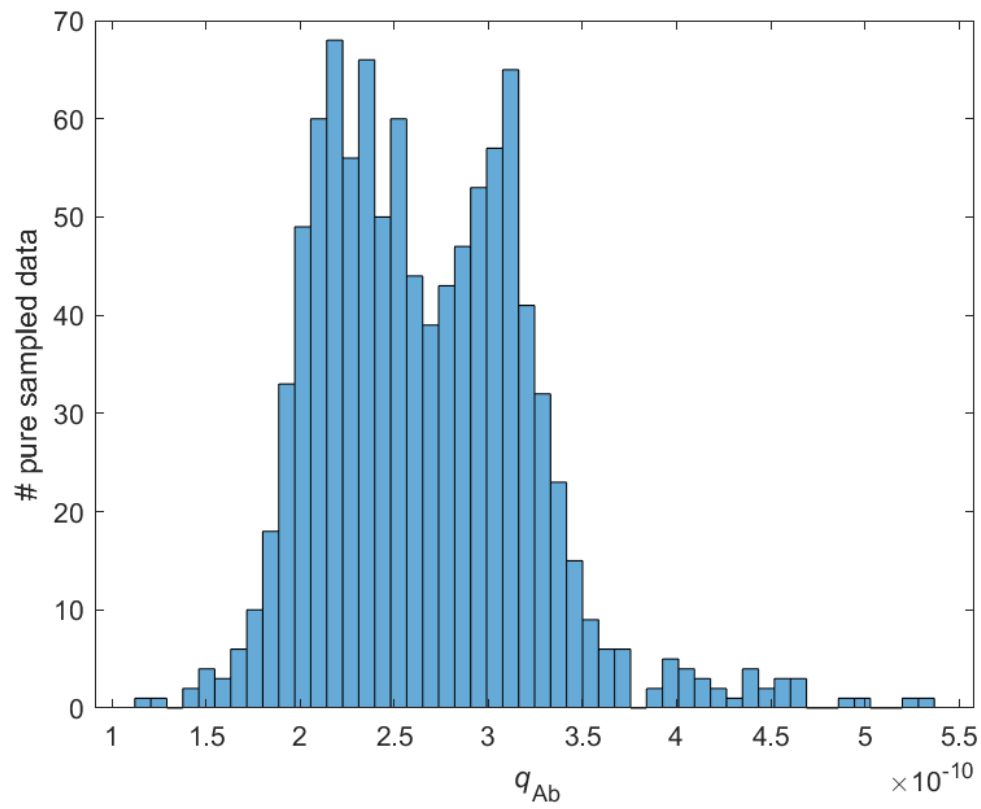


Supplementary Figure 12: Model parameter distribution, n=1000

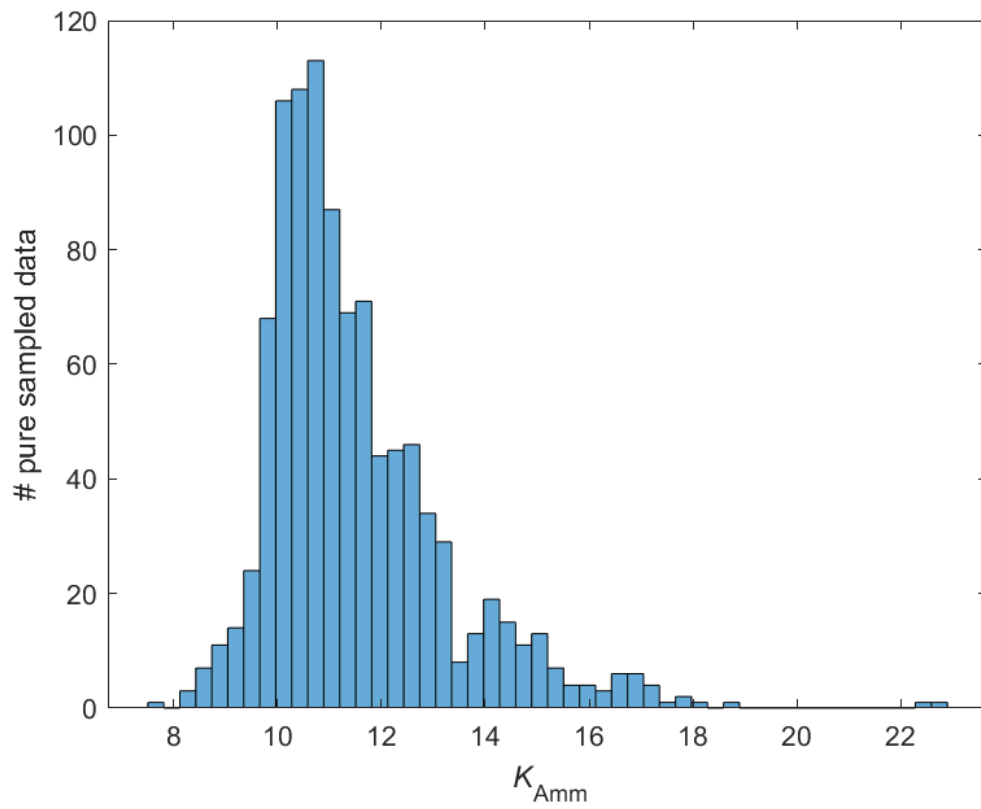




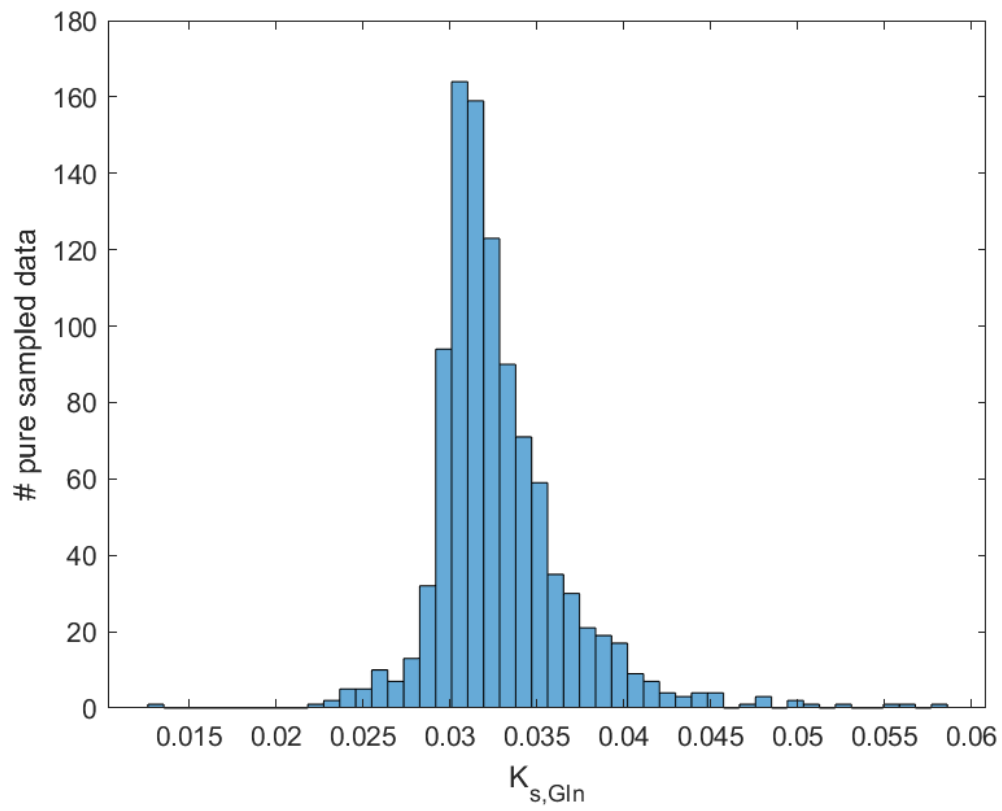
Supplementary Figure 13: Model parameter distribution, n=1000



Supplementary Figure 14: Model parameter distribution, n=1000



Supplementary Figure 15: Model parameter distribution, n=1000



Supplementary Figure 16: Model parameter distribution, n=1000

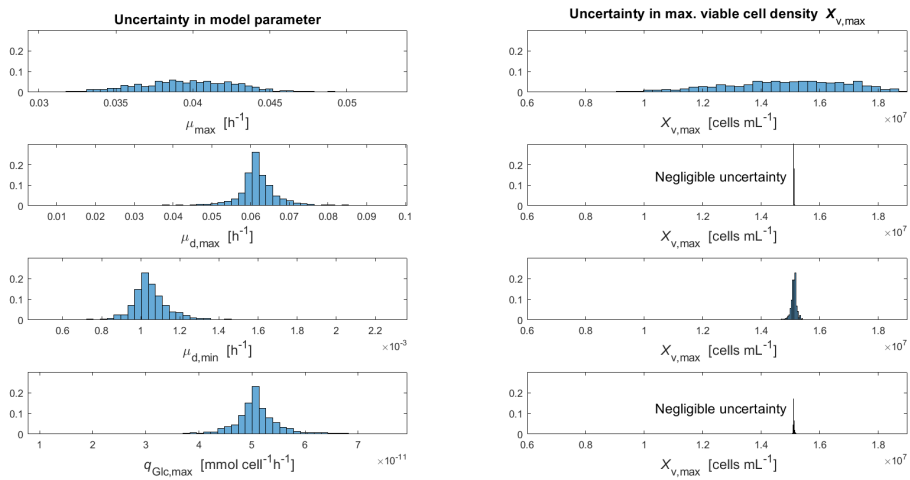
### 1.3 Estimation of model parameters

Supplementary Table 3: Adapted parameters at the investigated different scales, nomenclature as in main article

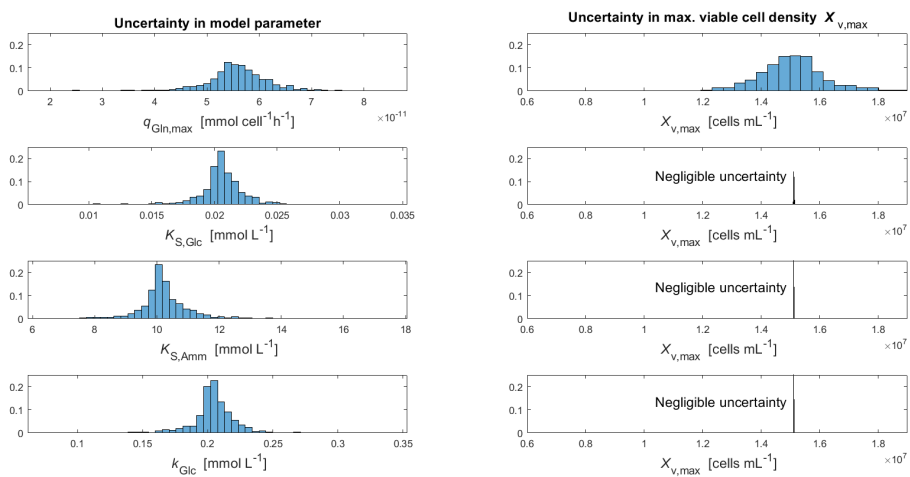
Symbol	Unit	Development (3.1.1)	Implementation (3.2.1)	Scale-up (3.4.1)
$\mu_{d,\min}$	$\text{h}^{-1}$	0.001	-	-
$\mu_{d,\max}$	$\text{h}^{-1}$	0.062	-	-
$\mu_{\max}$	$\text{h}^{-1}$	0.037	0.048	0.048
$k_{\text{Glc}}$	$\text{mmol} \cdot \text{l}^{-1}$	0.20	-	-
$k_{\text{Gln}}$	$\text{mmol} \cdot \text{l}^{-1}$	2.31	2.76	2.6
$k_{\text{Amm}}$	$\text{mmol} \cdot \text{l}^{-1}$	11.67	11.62	12.6
$k_{\text{Lys}}$	$\text{h}^{-1}$	0.001	-	-
$K_{s,\text{Amm}}$	$\text{mmol} \cdot \text{l}^{-1}$	10.23	-	-
$K_{s,\text{Glc}}$	$\text{mmol} \cdot \text{l}^{-1}$	0.02	-	-
$K_{s,\text{Gln}}$	$\text{mmol} \cdot \text{l}^{-1}$	0.03	0.29	0.03
$Y_{\text{Amm}/\text{Gln}}$	-	0.79	0.91	0.97
$Y_{\text{Lac}/\text{Glc}}$	-	0.25	-	-
$q_{\text{Glc},\max}$	$10^{-9} \cdot \text{mmol} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	0.05	-	-
$q_{\text{Gln},\max}$	$10^{-9} \cdot \text{mmol} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	0.05	0.05	0.05
$q_{\text{Lac},\text{uptake},\max}$	$10^{-9} \cdot \text{mmol} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	2.04	-	-
$q_{\text{Ab}}$	$10^{-10} \cdot \text{mg} \cdot \text{cell}^{-1} \cdot \text{h}^{-1}$	2.59	1.99	1.98



### 1.3.1 Sensitivity analysis

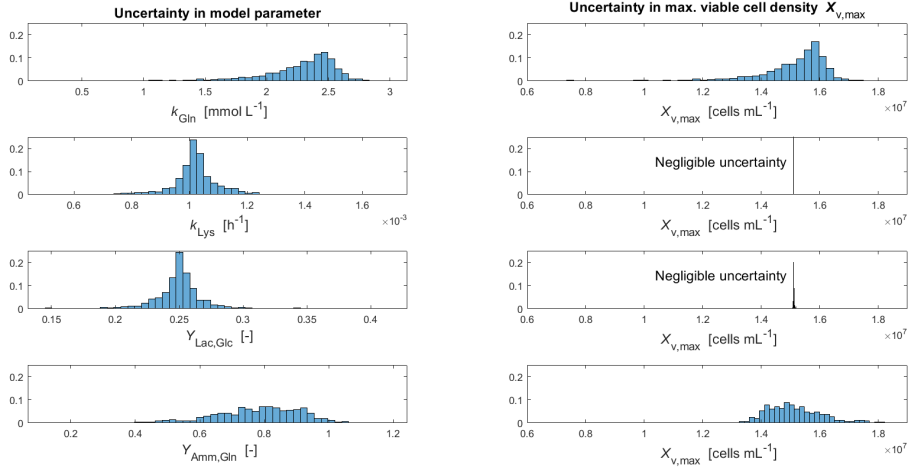


Supplementary Figure 17: Model uncertainty plots as described in materials and methods in main manuscript

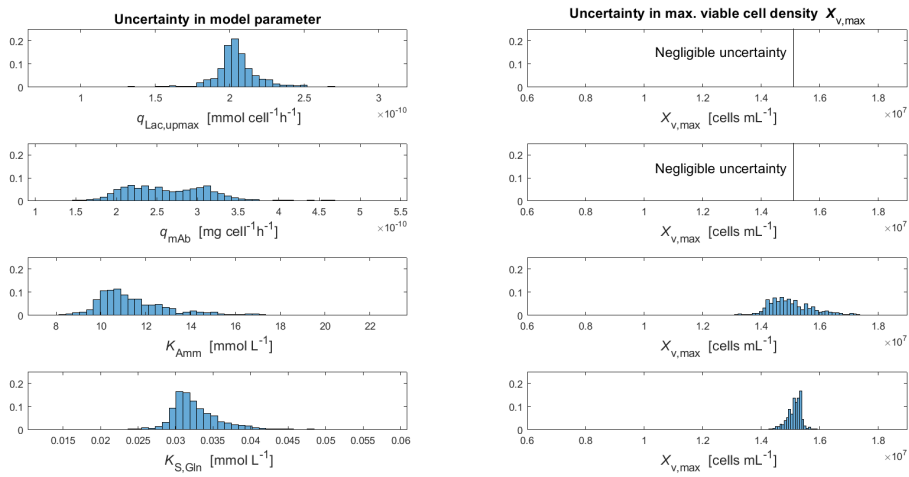


Supplementary Figure 18: Model uncertainty plots as described in materials and methods in main manuscript

### 1.3.2 Results



Supplementary Figure 19: Model uncertainty plots as described in materials and methods in main manuscript



Supplementary Figure 20: Model uncertainty plots as described in materials and methods in main manuscript



Supplementary Table 4:  $R^2$  and NRMSD, process implementation (250 ml)

<b>Concentration</b>	$R^2$	NRMSD
$X_v$	0.90	0.09
$X_t$	0.93	0.08
$c_{Glc}$	0.83	0.14
$c_{Lac}$	0.00	0.38
$c_{Gln}$	0.55	0.20
$c_{Amm}$	0.63	0.15
$c_{Ab}$	0.94	0.08
$V$	0.92	0.12

Supplementary Table 5:  $R^2$  and NRMSD, Scale-up from 250 ml to 2 l

<b>Concentration</b>	$R^2$	NRMSD
$X_v$	0.91	0.08
$X_t$	0.94	0.08
$c_{Glc}$	0.93	0.08
$c_{Lac}$	0.49	0.20
$c_{Gln}$	0.52	0.26
$c_{Amm}$	0.58	0.15
$c_{Ab}$	0.94	0.07
$V$	0.92	0.12



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- [2] Frahm, B: “Seed Train Optimization for Cell Culture”. Ed. by Pörtner, R: Totowa, NJ: Humana Press, 2014, 355–367. ISBN: 978-1-62703-733-4. DOI: [https://doi.org/10.1007/978-1-62703-733-4\\_22](https://doi.org/10.1007/978-1-62703-733-4_22). URL: [https://doi.org/10.1007/978-1-62703-733-4\\_22](https://doi.org/10.1007/978-1-62703-733-4_22).
- [3] Möller, J: Kuchemüller, K:B: Steinmetz, T: Koopmann, K:S: and Pörtner, R: “Model-assisted Design of Experiments as a concept for knowledge-based bioprocess development”. *Bioprocess and Biosystems Engineering* (2019). ISSN: 1615-7605. DOI: 10.1007/s00449-019-02089-7. URL: <https://doi.org/10.1007/s00449-019-02089-7>.