

Biochemically assisted rice whitening for improving head rice yield

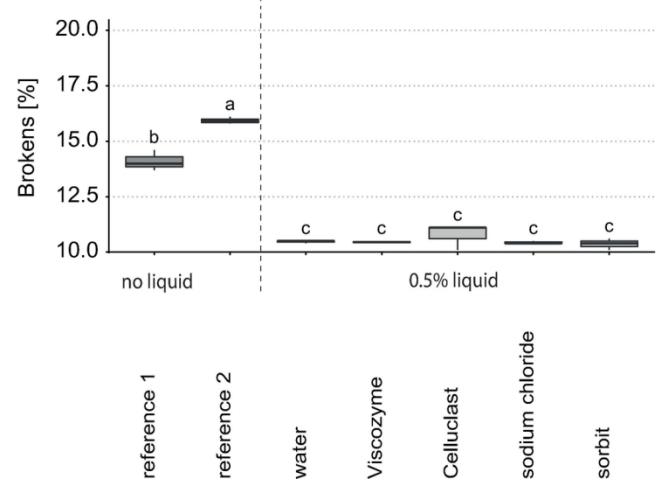
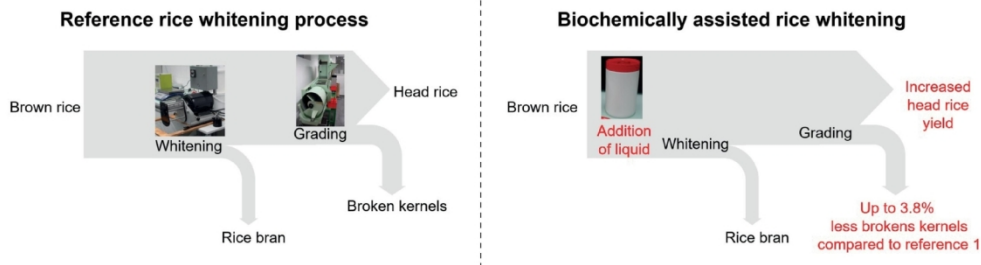
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Graphical Abstract

139x119mm (300 x 300 DPI)

1 **Title: Biochemically assisted rice whitening for improving head rice**
2 **yield**

3 **Short running title: Biochemically assisted rice whitening**

4
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13

14

15 **Abstract**

16 The maximum attainable head rice yield in conventional long grain rice milling is
17 approximately 64 %, with around 15 % being lost as a result of broken rice kernels. The
18 primary objective of this project was, therefore, to improve the milling yield. To achieve this
19 goal, biochemically assisted whitening processes involving the application of different
20 aqueous solutions were evaluated. Head rice yield was increased for all tested liquids (3.3 to
21 3.8 % depending on liquid) for Gladio-type brown rice treated with 0.5 % liquid prior to
22 whitening to 40 Kett using a lab-scale horizontal friction-type McGill whitener. However, the
23 moistening led to increased caking in the McGill milling chamber. In comparative trials, the
24 use of moistening solutions containing enzymes, sorbit or sodium chloride instead of pure
25 water, delivered a slightly, but nevertheless, significantly higher degree of whiteness directly
26 after milling while it did not result in a significant reduction in the number of broken kernels.
27 Since average head rice yield has a 43% higher commercial value than broken kernels, the
28 3.6% improvement in milling yield achieved by adding 0.5% water, would result in an
29 estimated increase in profit for a 7.5 t/h rice mill of 0.83 %.

30

31 **Keywords**

32 Rice whitening, head rice yield, enzymes, processability, profit increase, ecologic
33 sustainability.

34

35 **Abbreviations**

36 TGA thermogravimetric analysis

37 SEM scanning electron microscope

38

39 **Practical Applications**

40 **Biochemically assisted rice whitening for improving head rice yield**

41 Rice as a global staple food bears a critical role in human nutrition. At the same time, the
42 quality of milled rice is a key buying and price criterion in rice-consuming countries. One key
43 quality criterion is the number of brokens in rice. Hence, it is critical for rice millers to
44 minimise the degree of broken kernels. Biochemically assisted rice whitening for improving
45 head rice yield is a combined biochemical and physical method to facilitate bran removal
46 from brown rice. The main aim of the present study was to investigate the effect of
47 biochemically assisted rice whitening on the number of brokens and to assess potential
48 technological challenges resulting from the liquid addition.

49

50

51 **Introduction**

52 Rice (*Oryza sativa* L.) and its three relevant subspecies, *Indica*, *Japonica* and *Javanica*, all
53 have similar grain structures of a seed with testa, nucellus, embryo and endosperm, covered
54 with a tightly adhered pericarp (bran layers) and protected by a silicium-rich hull (Bechtel and
55 Pomeranz 1978; Bienvenido and Tuaño, 2019).

56 During paddy rice milling, the inedible hull is removed by rubber rollers to produce brown
57 rice. The subsequent whitening process involves 2 – 4 steps, depending on the type of rice
58 being processed, before up to two polishing steps are performed in order to obtain glossy
59 white rice. (Eyarkai Nambi et al., 2017, Mueller-Fischer 2012)

60 The whitening process removes the germ and bran layers from the brown rice by rubbing the
61 kernels between an abrasive stone and a screen. The rice is then polished by friction between
62 the kernels, at the same time a water mist is sprayed onto the rice to allow the smallest starch
63 particles to adhere to the kernel surface, thus resulting in a glossy finish (Mueller-Fischer

64 2012). However, both whitening and polishing cause some kernels to break, with multiple
65 factors contributing to the extent of crack formation and grain breakage. Such factors include,
66 among others: (i) rice cultivars that exhibit different sensitivities to breakage, (ii) distribution
67 of rice kernel dimensions, leading to the thickest and thinnest kernels being more likely to
68 break, (iii) environmental conditions during growth and harvest, for example high growing
69 temperatures that can disrupt starch synthesis resulting in chalkiness, (iv) chalkiness itself, (v)
70 changes in rice moisture content (values of 14 - 16% deliver the highest resistance to
71 breakage,) (vi) drying the paddy without proper tempering, (vii) parboiling to heal fissures,
72 and (viii) type and degree of rice processing . (Banaszek and Siebenmorgen 2013; Bao, 2019;
73 Bautista and Siebenmorgen 2005; Bhattacharya 2011; Bienvenido and Tũaño, 2019; Corrêa et
74 al. 2007;; Firouzi et al., 2017; Zhou et al. 2015)

75 Apart from a water mist added during rice polishing to achieve enhanced glossiness, no water
76 is added to the rice kernel in state-of-the-art milling. Several authors have described
77 enzymatic pre-treatment of rice before whitening or polishing. However, all of the treatments
78 resulted in a significant impact on subsequent processing, rice quality and/or storability. Arora
79 et al. (2007) found that spraying Basmati rice with cellulase had a positive effect on breakage
80 rates (3.23 to 4.58 % brokens after treatment compared to 4.72% brokens in untreated
81 Basmati), but encountered oxidative issues during storage. Das et al. (2008a; 2008b) soaked
82 rice with xylanase and cellulase to selectively degrade the bran layers, as an alternative to
83 physical polishing, before steaming the rice to deactivate the enzymes. Hay et al. (2006)
84 describe laboratory scale maceration of aleurone and subaleurone cells on the inner surface of
85 the isolated caryopsis coat when exposed to Pectolyase Y-23 to separate the tissues. Similar
86 effects have been established for pulse hulling where an enzymatic pre-treatment allows
87 pulses, such as pigeon peas, to be separated into intact splits (Sangani et al. 2010).

88 In conventional rice milling, out of a total of 64% polished rice, only 49% is termed head rice,
89 since 15% of the kernels are broken and of lower economic value. A mass balance for the

90 entire rice milling process, adapted from Mueller-Fischer (2012) is depicted in Fig. 1. The
91 whitening process was adapted to include biochemically assisted bran removal through the
92 addition of an aqueous solution, such as water (optionally containing enzymes, sodium
93 chloride or sorbit), immediately before being processed in a laboratory scale whitener. The
94 underlying hypothesis was that a moistening step will allow the bran layers to be rubbed off
95 more gently as is commonly observed in conditioning of wheat before milling (Kweon et al.
96 2009). Increasing the milling yield by decreasing the number of broken kernels during
97 whitening is therefore the primary objective of this project.

98

99

100 **Materials and Methods**

101

102 Brown rice (*Oryza sativa L*, subspecies *Indica*, type Gladio, harvest 2008, Italy) was whitened
103 in a lab scale McGill rice whitener (McGill Miller No. 3, Rapsco, USA) using various
104 protocols summarized in Table 1:

105 (1) In reference process 1 that simulated conventional rice whitening at the laboratory scale,
106 500 g brown rice was whitened for 15 s by applying a milling weight of 10 lbs. The milling
107 time and milling weight were optimised in preliminary trials that tested weights of between 5
108 and 15 lbs and milling times between 5 and 30 s. The combination of 15 s milling at 10 lbs
109 was found to maximise head rice yield and achieve the desired whiteness of 40 Kett.

110 (2) For reference process 2, a two-step milling process was implemented to simulate two
111 whitening passages, 700 g brown rice was first whitened for 5 s using a milling weight of 1 lb
112 and then 500 g head rice from the pre-milled batch was processed for 15 s with a milling
113 weight of 10 lbs. In between the two milling steps, the rice was separated from the bran using
114 a lab sifter (Type AS 400 control, Retsch GmbH, Germany, sieve mesh size 1.12 mm) at 350
115 rpm for 1 min and then the head rice was separated from the broken kernels using a lab trieur

116 (Type Mini-Petkus, Röber GmbH, Agrartechnik und Maschinenbau, Germany) at 50 Hz, first
117 using a 2 mm round holed vibrating screen, then a trieur sleeve with 5.5 mm wide
118 indentations, both were performed without aspiration and at the minimum feed rate. Unlike in
119 reference process 1, the pre-milling in reference process 2 opens up the bran layers in order to
120 allow the application of active agents.

121 (3) Biochemically assisted rice whitening was performed with and without pre-whitening (1
122 lb / 5 s), followed by a moistening step and concluded by an end-whitening step for 15 s with
123 a milling weight of either 10 or 1 lb. Tap water (pH 7.6, water hardness 21.4 °d with 117.1
124 mg/l Ca and 21.5 mg/l Mg, electrical conductivity 624.6 microSiem/cm), Viscozyme® L
125 (dosage 0.3% based on dry matter, declared activity: beta-glucanase (endo-1,3(4)-),
126 Novozymes A/S, Denmark), Celluclast® 1.5L (dosage 0.3% based on dry matter, declared
127 activity: cellulase, Novozymes A/S, Denmark), a 5% sodium chloride solution in water (NaCl,
128 Merck KGaA, Germany) or a 5% sorbit solution in water (d (-) sorbit powder, Merck KGaA,
129 Germany) were used as moistening solutions. Either 700 g un-milled or 500 g of pre-milled
130 Gladio brown head rice was added to a plastic container, then either 0.5 or 1.0 % of 18 °C
131 warm liquid was added using an electronic repetitive pipette. The container was then closed
132 and shaken for 1 minute.

133 Room conditions were adjusted to 20 °C and 43 % relative humidity using an air humidifier
134 (type Century 210311, Walter Meier (Klima Schweiz) AG, Switzerland) for all of the trials in
135 order to obtain an air moisture content that matched the moisture of the rice (55% rH
136 corresponding to 12% moisture in kernel, based on unpublished data from Bühler AG), thus
137 preventing sorption at the rice surface upon contact with air to minimize inter-kernel stress.

138 All trials were performed in triplicate on three separate days.

139

140 To assess the moisture content of the rice kernels, thermogravimetric analysis (TGA 701,
141 Leco Instrumente GmbH, Germany) was performed in triplicate at 105 °C (48 h for entire

142 grains and 24 h for milled samples) to obtain mass balances throughout the process steps. The
143 initial moisture content of Gladio brown rice was $12.88 \pm 0.02\%$ when measured by
144 thermogravimetric analysis.

145 The whiteness of the rice was measured with a type C-300 digital whiteness meter (Kett,
146 USA) working in reflective mode, with a measuring range of 5 – 70 Kett and an accuracy of
147 0.1 Kett. All analyses were performed in triplicate.

148 To assess the impact of whitening on bran lipids, sample particles were dispersed with a brush
149 onto C-Tape on scanning electron microscope (SEM) object holders. Subsequently,
150 compressed air was used to remove loose particles adhering to the surfaces of the samples and
151 the SEM object holder. Prior to examination using the SEM (Zeiss, type DSM962, Germany)
152 all samples were sputtered with gold.

153 Head rice and broken kernels were counted by hand based on standardised images according
154 to industry standards, i.e. grains $> \frac{3}{4}$ of the original average length counted as head rice and $<$
155 $\frac{3}{4}$ of the original average length counted as broken kernels.

156 Statistical analysis was performed by a Shapiro-Wilk normality test and a Bartlett test of
157 homogeneity of variances ($\alpha = 5\%$) to reject the zero hypothesis and, thus, ensure the
158 applicability of an analysis of variance (ANOVA). ANOVA showed highly significant
159 differences ($p < 0.01$). A Post-hoc Tukey honest significant difference test was then applied,
160 with significantly different results indicated by different letters in the graphs shown in Figs. 2,
161 3 and 5.

162 The relative and absolute attainable increase in profit per year for each percent of additional
163 head rice yield was computed using the following boundary conditions: (i) a rice mill with a
164 throughput of 7.5 t/h paddy rice corresponding to 6.0 t/h brown rice, (ii) a utilisation capacity
165 of 24 h/d for 300 d/y, (iii) operational costs including energy consumption, cleaning,
166 sanitation etc. were assumed to be not affected by the biochemically assisted whitening
167 process, (iv) 14 % rice moisture content with 86 % dry matter, (v) broken kernels were

168 assumed to be 15 % (calculated on paddy rice basis), 19 % (on brown rice basis) and 22 %
169 (on white rice basis), (vi) cost of moistening liquids were assumed to be negligible with the
170 exception of enzymes which were calculated for Viscozyme to be 32.73 US\$/l, (vii) a head
171 rice price for higher quality Indica rice of 492 US\$ in Thailand and 580 US\$ in the US ([EST:
172 FAO Rice Price Update](#), accessed 27.11.2020), (vii) a broken kernel price of 70% of the head
173 rice price was assumed (average range between 60 – 80% according to Siebenmorgen et al.
174 (2008)).

175

176 **Results and Discussion**

177 A comparison of the effect on broken kernels and whiteness of a one-step and two-step
178 whitening process without moistening (reference 1 and reference 2) and a process with an
179 additional moistening step with water, Viscozyme or Celluclast between the pre-whitening
180 and end-whitening processes is shown in Figure 2.

181 The percentages of broken kernels in all of the biochemically assisted rice whitening trials
182 and in reference 2, which mimicked biochemically assisted rice whitening without moistening,
183 were slightly but significantly higher than reference 1. No significant differences in broken
184 kernels were observed between the different biochemically assisted rice whitening approaches,
185 irrespective of the amount (0.5 or 1.0% moisture) or type of moistening solution (water,
186 Viscozyme or Celluclast) added. Reference 1 led to a higher degree of whiteness (40.9 ± 0.37
187 Kett) than reference 2 (39.7 ± 0.41 Kett). All of the biochemically assisted rice whitening
188 approaches led to significantly whiter rice than references 1 and 2. Furthermore, the different
189 liquids had no observable effect on whiteness, nevertheless an addition of 1.0 instead of 0.5%
190 liquid resulted in significantly higher whiteness for all of the liquids (0.5% water: 43.1 ± 0.41
191 Kett, 1.0% water: 44.0 ± 0.13 Kett, 0.5% Viscozyme: 42.8 ± 0.21 Kett, 1.0% Viscozyme:
192 43.7 ± 0.38 Kett, 0.5% Celluclast: 43.1 ± 0.27 Kett, 1.0% Celluclast: 43.7 ± 0.15 Kett).

193 The explanation why reference 2, with the two-step milling approach, led to slightly lower
194 whiteness than reference 1 can be found in the amount of bran removed: reference 1 led to a
195 removal of $8.6 \pm 0.14\%$ bran, reference 2 to $4.1 \pm 0.17\%$. No clear explanation was found as
196 to why the total removal of bran in reference 2 was lower despite the fact that the combined
197 mechanical energy input was slightly higher. The negative effect of adding a moistening
198 liquid on the number of broken kernels could be attributed to resulting moisture differences
199 within the kernel that lead to internal stress and make the kernel susceptible to breakage. This
200 phenomenon is well known from rice drying, where moisture gradients are known to have a
201 severe impact on breakage levels due to moisture stress attributable to the state of starch
202 (Bhattacharya, 2011). The addition of liquids to rice raises the water content in the surface
203 layers, which as a consequence may be just in the rubbery state based on the state diagram
204 shown in Steiger et al. (2014) and assuming that temperatures during whitening raise
205 significantly, however the kernel core remains in its glassy state (Conde-Petit 2001). The
206 resulting differential stresses within the grains cause grain fissuring (Cnossen and
207 Siebenmorgen 2000; Perdon et al. 2000). This theory needs to be proven but temperature
208 measurements of the rice surface during whitening were not possible as the McGill rice
209 whitener is a closed system.

210 In contrast, the addition of liquid had a positive effect on whiteness. Moistening during
211 polishing is well-known to improve the visual perception of kernels and a similar effect might
212 play a role in whitening. This is attributed to an enhanced adherence of loose starch particles
213 to the rice kernel surface and can be seen by a reduction in total solids in cooking water.
214 However, scientific studies on this topic are rare. The only available study that tested the
215 effect of adding water during polishing found that adding 0.5 % water led to significantly
216 enhanced whiteness of the resulting polished rice (Lee et al. 1992).

217

218 It was observed that the addition of liquid before end whitening led to caking in the whitener,
219 i.e. rice kernels and abraded bran formed a compact mass and stuck to the inner surfaces of
220 the whitener. As the effect was more pronounced when adding 1.0% than 0.5% moistening
221 liquid (7% of the original material stuck to walls of whitener with the addition of 1.0% liquid
222 versus 3% for 0.5% liquid) and since caking is critical in industrial rice milling, moisture
223 addition was limited to 0.5% in the follow-up trials. Furthermore, since the biochemically
224 assisted rice whitening resulted in whiteness that was higher than the desired 40 Kett in all
225 trials (42.8 – 44.0), a reduction in whitening severity was tested: milling weight was reduced
226 to 1 lb and pre-milling skipped in some trials. The results of these approaches are shown in
227 Figure 3.

228 The aim of testing more gentle whitening parameters was to reach a whiteness of 40 Kett and
229 then select the approach that resulted in the fewest broken kernels. Hence, all of the
230 biochemically assisted rice whitening approaches milled in two steps and using 10 lbs for 15 s
231 in the end-whitening step were ruled out as they significantly differ from both reference 1 and
232 2 and result in an excessive degree of whiteness. Biochemically assisted rice whitening with
233 0.5% water addition whitened in two steps with an end-whitening at 1 lb for 15 s delivered
234 whiteness that was comparable to reference 2. The trials with 0.5% Viscozyme or Celluclast
235 milled in one step at 1 lb for 15 s also delivered similar results, while two-step milling after
236 the addition of an enzyme solution with an end-whitening at 1 lb for 15 s resulted in a higher
237 whiteness than reference 1. The whiteness of the samples that had 0.5% water added and
238 underwent only one milling step at 1 lb for 15 s was insufficient (39.0 ± 0.3 Kett instead of
239 40.0 Kett). All of the samples that received 0.5 % liquid and were milled in one step using a
240 low milling weight of 1 lb for 15 s delivered a significant reduction in broken kernels from
241 14.1 ± 0.37 and 15.9 ± 0.12 % (reference 1 and 2, respectively) to 10.5 ± 0.05 , 10.3 ± 0.26 % and
242 10.8 ± 0.47 % for water, Viscozyme and Celluclast, respectively. Since the Kett value of the
243 water sample was 39.0 and, thus, slightly too low, it was only possible to define the setup as

244 optimal for the samples that had 0.5% Viscozyme and Celluclast added and were milled in
245 one step (1 lb for 15 s). It is hypothesised that adding a liquid improves bran removal by
246 reducing adherence between the endosperm and the bran, similar to both pulse hulling
247 (Sangani et al., 2010) and rice milling (Arora et al. 2007). As a consequence, milling weight
248 could be reduced and the desired whiteness still achieved, leading to a reduction in broken
249 kernels due to lower mechanical stress.

250 A tendency to caking was again observed for all tested liquids. Additional SEM analyses of
251 bran build-up were performed to determine whether the addition of liquid during whitening
252 resulted in an increased availability of bran oil, resulting in more caking due to smearing,
253 which sometimes occurs with bran from parboiled rice (Raghavendra Rao et al. 1967). A
254 further exacerbation by enzymatical disruption of the cell walls compared to pure water can
255 be precluded based on the percentage of caking that was measured (2.9, 1.6 and 1.7% of
256 caking for water, Celluclast and Viscozyme, respectively). SEM images of (i) sticky bran
257 from parboiled rice, (ii) conventionally milled rice produced in the lab-whitener and (iii) a
258 representative bran sample produced by the biochemically assisted rice whitening process
259 (exact setup: no pre-whitening, 0.5 % water, milling weight 1 lb, milling time 15 s) are
260 depicted in Fig. 4. The images show that a film covers the bran of the parboiled rice while this
261 is not the case after conventional milling or biochemically assisted rice whitening. This
262 finding suggests that caking is not caused by a liquefying of lipids during whitening.

263

264 A detailed mass balance calculation was performed for all of the tested whitening methods to
265 understand whether a change in moisture distribution over the milling fractions caused by the
266 addition of liquids could explain the observed increase in caking. The mass balances of
267 reference 2 and the two-step biochemically assisted rice whitening process with the addition
268 of 1% water, a rest period of 1 minute, and end whitening at 10 lbs for 15 s are discussed as
269 examples (see supplementary figures S1 and S2). As some of the measurements (caking) were

270 analyzed in triplicate for one sample only, tendencies will be discussed. The initial moisture
271 content of the pre-whitened rice samples were 13.02 and 12.98 % for reference 2 and the
272 biochemically assisted rice whitening process, respectively. In reference 2, the following
273 moisture contents were found after end-whitening: 13.0 % in rice, 11.6 % in caking and 9.7 %
274 in bran. In comparison, the following moisture contents were found after end-whitening for
275 the biochemically assisted rice whitening process with 1% water added,: 13.3 % in rice,
276 13.9 % in caking and 12.1 % in bran. The added moisture seems to mainly remains in the bran
277 layers and does not reach the endosperm, which is important for rice storage. The findings
278 further suggest that caking is at least to some extent caused by the higher moisture content in
279 the bran layers which contributes to its stickiness.

280

281 Further trials applying one-step milling at optimal milling conditions of 1 lb for 15 s were
282 performed with two additional liquids to understand whether the increased whiteness after
283 milling with Viscozyme and Celluclast instead of water was caused by the enzymes or the
284 additives which are part of the enzyme preparations, i.e. sodium chloride (in Celluclast and
285 Viscozyme) and sorbit (in Celluclast).

286 Figure 5 shows that the application of pure water in biochemically assisted rice whitening led
287 to significantly lower whiteness than both reference milling processes (reference 1: $40.9 \pm$
288 0.3 Kett, reference 2: 39.7 ± 0.4 Kett, water: 39.0 ± 0.3 Kett). In addition, all of the tested
289 additives (enzymes, sorbit, sodium chloride) slightly but significantly increased whiteness
290 compared to pure water (water: 39.0 ± 0.3 Kett , Viscozyme: 39.6 ± 0.3 Kett, Celluclast: 39.7
291 ± 0.1 Kett, sodium chloride: 40.0 ± 0.2 Kett, sorbit: 40.1 ± 0.3 Kett). One reason for the
292 differences in whiteness might be the hygroscopicity of the two tested stabilizing agents used
293 in the enzyme solutions, i.e. sodium chloride and sorbit. As a consequence of the higher
294 uptake of sodium chloride or sorbit in the near surface layers, surface moisture might be
295 higher directly after treatment but reduces once the moisture gradient within the kernel has

296 been dispelled This might either lead to a further increase in whiteness over time caused by a
297 less dense surface which, similar to a foam, can be expected to reflect light differently due to
298 reflection and refraction effects (Tufaile et al. 2014) or to a decrease if the higher whiteness is
299 caused by a swelling of the surface layers resulting in an apparent brightening of the kernels.
300 To follow up, rice kernel whiteness was tested again after 6 weeks of storage. Whiteness
301 increased for all samples including the references. The differences in whiteness were 1.8 Kett
302 for reference 1, 2.8 Kett for water, 1.7 Kett for both Viscozyme and Celluclast, 1.4 Kett for
303 the sorbit solution and 1.5 Kett for the sodium chloride solution. While the increase in
304 whiteness of the samples treated with additives might be an indication in support of the theory
305 of a higher moisture in surface near layers upon the application of hydrophobic additives
306 before milling, the comparably higher increase in whiteness over time of the water treated
307 sample contradicts the theory. Additional analyses would, thus, be necessary to understand
308 the effect of the hydrophobic additives on the whiteness after milling. Further, the observation
309 that applying pure water as the moistening liquid resulted in comparable whiteness levels
310 after 6 weeks storage is of special practical importance.

311 In terms of broken kernels, all of the moistening liquids resulted in a significant reduction in
312 broken kernels (14.1% and 15.9% for reference 1 and 2 compared to 10.5, 10.3, 10.8, 10.4,
313 10.4 for water, Viscozyme, Celluclast, sodium chloride and sorbit). The highest reduction in
314 brokens was achieved using Viscozyme (3.8% reduction) when comparing to reference 1. The
315 comparison of results for different liquids did not reveal a significant impact on the number of
316 brokens and show that the addition of enzymes provides no advantage over the addition of
317 liquids containing only sodium chloride or sorbit with respect to brokens nor over the trials
318 applying pure water. It is likely that the enzymes did not have enough time to act, since the
319 samples were whitened after only 1 minute of contact with the enzyme solution. The degree
320 of reduction in brokens seems higher than findings by Arora et al. (2007) suggest where
321 brokens in Basmati were reduced from 4.72% to between 3.23 and 4.58% after enzymatic

322 treatment. In relative numbers, the maximally achieved reductions are however comparable,
323 i.e. 31.6% relative reduction in brokens in Arora et al. (2007) compared to 35.2% relative
324 reduction in own results. Das et al. (2008b) showed in SEM images that the application of
325 cellulase and xylanase enzymes on rice brown rice led to a thinning of the outer bran layers
326 while the effect of enzymatic treatment on the ease of physical whitening was not tested.

327

328 In consequence, the results of the water treated sample with a reduction of 3.6% compared to
329 the industrially relevant reference 1 is of special economic importance as no additional
330 material costs are generated nor a declaration of additives has to be taken into account.

331 Based on the overall findings, the number of broken kernels can be significantly reduced if
332 0.5% of any of the tested liquids is added. The biochemically assisted rice whitening process
333 is seen as a promising process option and the effect on milling economics has also been
334 calculated. Since pure water led to comparable effects with respect to brokens and, after
335 storage, with respect to whiteness, the addition of enzymes was not taken into account and
336 instead the calculations were made for water only. The resulting profitability per 1%
337 additional head rice yield is 0.23 % based on an average price for broken rice of 70% of the
338 price of head rice (Siebenmorgen et al. 2008). In other words, for a rice mill with a mean
339 throughput of 7.5 t paddy rice per hour run for 24 h and 300 d/y, each additional percentage of
340 head rice yield will result in an additional yearly profit of 46'547 US\$, based on Thai
341 premium Indica rice sold at 492 US\$ per ton of head rice or 54'873 US\$/y for US premium
342 Indica rice sold at 580 US\$ per ton ([EST: FAO Rice Price Update](#), accessed 27.11.2020).
343 While caking might lead to additional costs for cleaning, the reduced energy need during
344 whiteness would further improve the business case. Neither of these effects were considered
345 in the current calculation, as additional trials would be necessary to quantify the overall cost
346 of additional cleaning and the costs saved through reduced energy usage.

347

348 **Conclusions and outlook**

349 Biochemically assisted rice whitening with a moistening step was proven to be an effective
350 measure to enhance head rice yield. Comparison of different liquids showed that enzymes did
351 not have the expected effect, which can be attributed to the very limited contact time before
352 whitening. The fact that pure water, simple sodium chloride or sorbit solutions achieve the
353 same effect on milling yield and, after 6 weeks storage time, on whiteness as the tested
354 enzyme solutions is seen as positive: high consumer acceptance of especially water or sodium
355 chloride is expected while reactions to enzymes might be ambivalent. The maximum achieved
356 increase in head rice yield of 3.6% after the addition of 0.5% of water before whitening is of
357 economic importance to rice millers, since rice milling is generally a low margin business.
358 Further work is needed to overcome caking of moistened rice bran in the whitener. Hence,
359 corrective measures to counteract caking will be the focus of follow-up work. Furthermore,
360 biochemically assisted rice whitening needs to be proven for other rice varieties and different
361 starting moisture contents. In addition, testing in an industrial setup is necessary in order to
362 fully verify effectiveness. Finally, in-depth understanding of the increased whitening effect of
363 sodium chloride and sorbit over water directly after whitening should be developed through
364 additional analyses and the effect on cooking quality of rice should also be examined.

365

366

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371

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374

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378

379 **Conflict of interest disclosure**

380 The authors declare no conflict of interest.

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454

455 **Table 1** Summary of the tested rice whitening setups

	Reference 1	Reference 2	Biochemically assisted rice whitening
Pre-Whitening	None	1 lb / 5 s	None or 1 lb / 5 s
Liquid addition	None	None	0.5% or 1.0%
Type of liquid	None	None	water, Celluclast®, Viscozyme®, NaCl, sorbit
End Whitening	10 lbs / 15 s	10 lbs / 15 s	10 lbs / 15 s or 1lbs / 15s

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459 **Figure Legends**

460

461 **Fig. 1** Process flow sheet of conventional rice milling with relative yields of main- and co-
462 products (Mueller-Fischer, 2012)

463

464 **Fig 2** Broken kernels and whiteness of milled rice after one-step milling without moistening
465 (Reference 1), two-step milling without moistening (Reference 2), biochemically assisted rice
466 whitening with a moistening step in-between pre- and end-whitening using either 0.5 or 1.0%
467 water, Viscozyme or Celluclast and end-whitening for 15 s at 10 lbs. Different letters indicate
468 groups of significant differences

469

470 **Fig. 3** Broken kernels and whiteness of milled rice after one-step milling without moistening
471 (Reference 1), two-step milling without moistening (Reference 2), biochemically assisted rice
472 whitening adding either 0.5% water, Viscozyme or Celluclast using different whitening
473 processes (pre-whitening followed by whitening with 10 lbs for 15 s or 1 lbs for 15s, one-step
474 whitening without pre-whitening and end-whitening performed at 1 lb for 15 s). Different
475 letters indicate groups of significant difference

476

477 **Fig. 4** SEM images of bran from USA parboiled rice (left), conventionally milled rice
478 (middle) and rice milled using the biochemically assisted rice whitening process with water as
479 moistening liquid (right)

480

481 **Fig. 5** Comparison of reference milling and biochemically assisted rice whitening with
482 different liquids (water, Viscozyme, Celluclast, sodium chloride, sorbit) at 0.5%
483 concentration using a one step milling process and a milling weight of 1 lb for 15 s. Different
484 letters indicate groups of significant difference

485

486 **Supplementary Fig. 1** Moisture mass balance of reference 2. Moisture content determined by

487 TGA (n = 3, except caking: n = 2).

488

489 **Supplementary Fig. 2** Moisture mass balance for biochemically assisted rice whitening

490 process setup with the addition of 1% addition water after pre-whitening at 1 lb for 5 s, a rest

491 period of 1 minute and end whitening at 10 lbs for 15 s. Moisture contents determined by

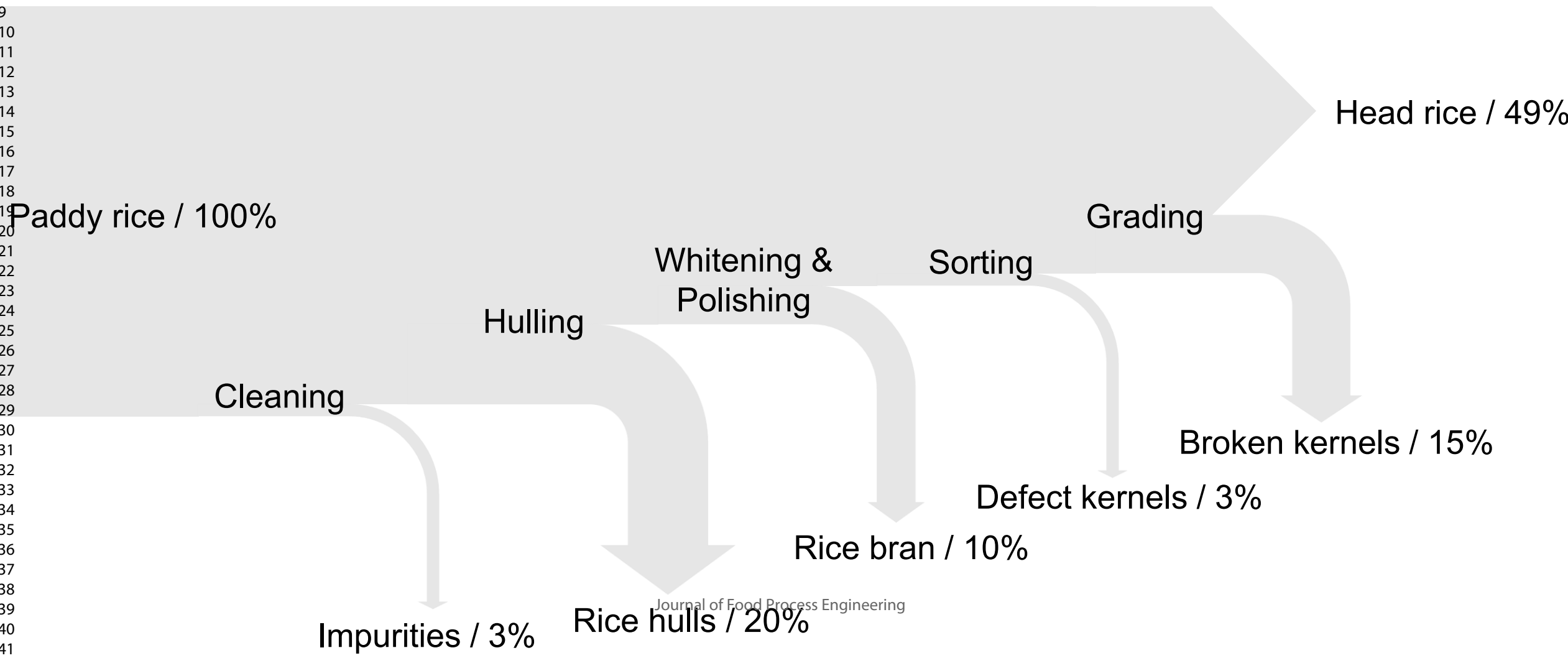
492 TGA (n = 3 for all fractions)

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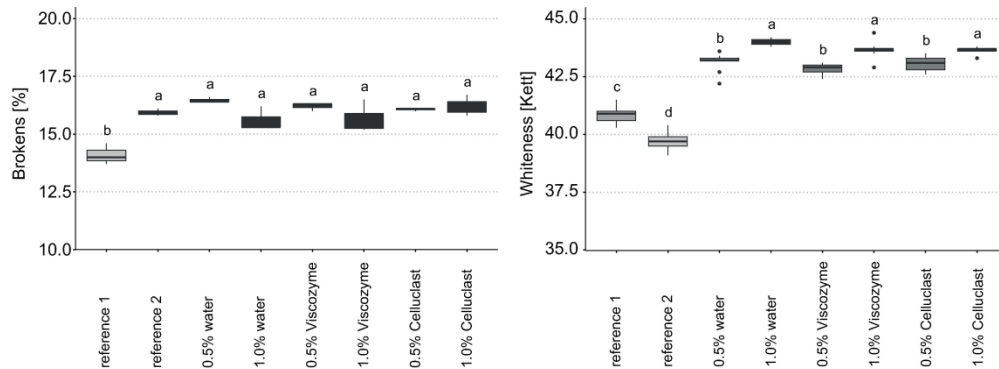


Fig 2 Broken kernels and whiteness of milled rice after one-step milling without moistening (Reference 1), two-step milling without moistening (Reference 2), biochemically assisted rice whitening with a moistening step in-between pre- and end-whitening using either 0.5 or 1.0% water, Viscozyme or Celluclast and end-whitening for 15 s at 10 lbs. Different letters indicate groups of significant differences

172x62mm (600 x 600 DPI)

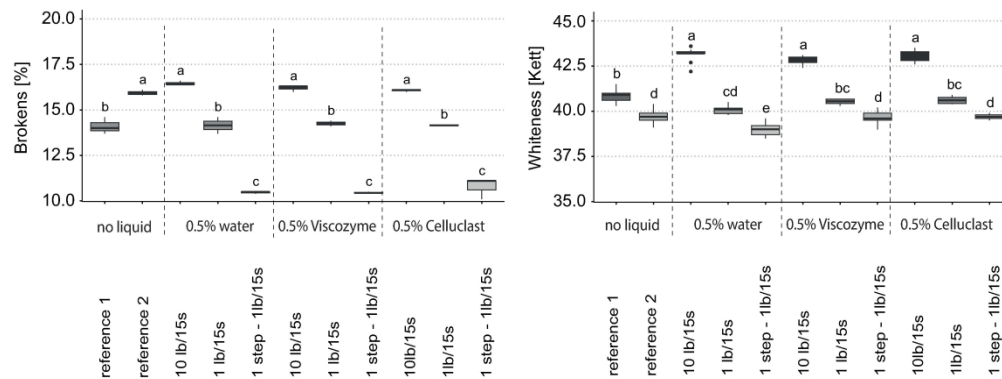


Fig. 3 Broken kernels and whiteness of milled rice after one-step milling without moistening (Reference 1), two-step milling without moistening (Reference 2), biochemically assisted rice whitening adding either 0.5% water, Viscozyme or Celluclast using different whitening processes (pre-whitening followed by whitening with 10 lbs for 15 s or 1 lbs for 15s, one-step whitening without pre-whitening and end-whitening performed at 1 lb for 15 s). Different letters indicate groups of significant difference

172x63mm (600 x 600 DPI)

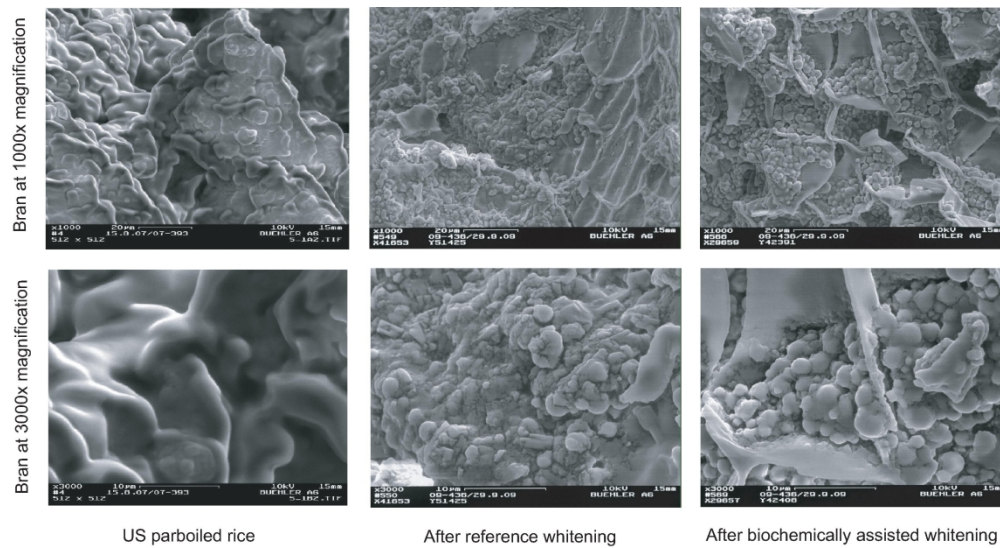


Fig. 4 SEM images of bran from USA parboiled rice (left), conventionally milled rice (middle) and rice milled using the biochemically assisted rice whitening process with water as moistening liquid (right)

142x77mm (600 x 600 DPI)

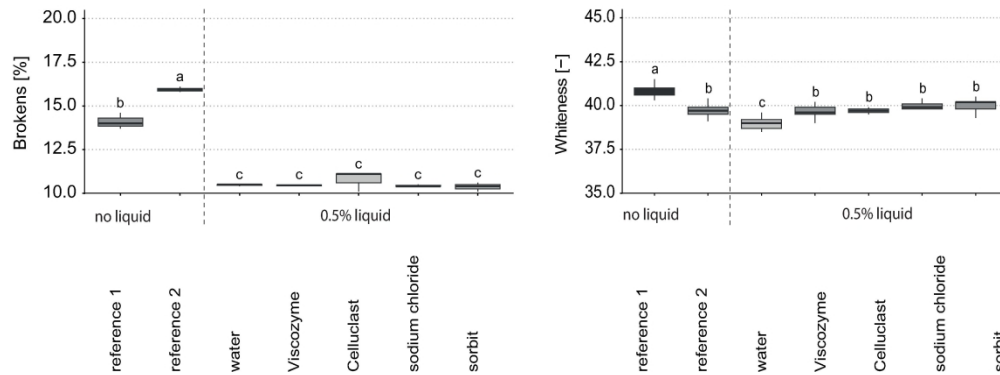


Fig. 5 Comparison of reference milling and biochemically assisted rice whitening with different liquids (water, Viscozyme, Celluclast, sodium chloride, sorbit) at 0.5% concentration using a one step milling process and a milling weight of 1 lb for 15 s. Different letters indicate groups of significant difference

167x61mm (600 x 600 DPI)